Multi-modal gait: A wearable, algorithm and data fusion approach for clinical and free-living assessment

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Abstract (300 words)

Gait abnormalities are typically derived from neurological conditions or orthopaedic problems and can cause severe consequences such as limited mobility and falls. Gait analysis plays a crucial role in monitoring gait abnormalities and discovering underlying deficits can help develop rehabilitation programs. Contemporary gait analysis requires a multi-modal gait analysis approach where spatiotemporal, kinematic and muscle activation gait characteristics are investigated. Additionally, protocols for gait analysis are going beyond labs/clinics to provide more habitual insights, uncovering underlying reasons for limited mobility and falls during daily activities. Wearables are the most prominent technology that are reliable and allow multi-modal gait analysis beyond the labs/clinics for extended periods. There are established wearable-based algorithms for extracting informative gait characteristics and interpretation. This paper proposes a multi-layer fusion framework with sensor, data and gait characteristics. The wearable sensors consist of four units (inertial and electromyography, EMG) attached to both legs (shanks and thighs) and surface electrodes placed on four muscle groups. Inertial and EMG data are interpreted by numerous validated algorithms to extract gait characteristics in different environments. This paper also includes a pilot study to test the proposed fusion approach in a small cohort of stroke survivors. Experimental results in various terrains show healthy participants experienced the highest pace and variability along with slightly increased knee flexion angles ($\approx 1^{\circ}$) and decreased overall muscle activation level during outdoor walking compared to indoor, incline walking activities. Stroke survivors experienced slightly increased pace, asymmetry, and knee flexion angles (\approx 4°) during outdoor walking compared to indoor. A multi-modal approach through a sensor, data and gait characteristic fusion presents a more holistic gait assessment process to identify changes in different testing environments. The utilisation of the fusion approach presented here warrants further investigation in those with neurological conditions, which could significantly contribute to the current understanding of impaired gait.

Keywords; Wearable sensors, sensor fusion, gait analysis, multi-modal fusion, free-living

1. Introduction

Gait is a cyclic pattern of body movement, which advances an individual's position to perform daily life routines to maintain wellbeing [1]. Neurodegenerative diseases (e.g., stroke) can cause severe disruption to gait. Post-stroke, 50% of stroke survivors (SS) are unable to walk [2], and for those who can, asymmetrical gait is highly likely to occur with a large variance in different gait characteristics [3]. World health organisation (WHO) and Global Burden of Disease studies report falls are one of the leading causes of accidental deaths and injuries globally [4]. This can be due but not limited to lack of foot clearance in SS or freezing of gait (FoG) in Parkinson's disease when in the wild i.e., habitual ambulation/mobility during free-living in the home or community [5-7]. Therefore, regaining habitual ambulation has been identified as a major rehabilitation goal from early to late-stage in clinics and rehabilitation centres where the process of gait/walking assessment is usually performed to increase mobility and minimise fall risk [8].

Wearable technologies such as inertial measurement units (IMUs, which sense angular velocity and acceleration) can provide pragmatic gait data in the lab/clinic or beyond in the home and community (i.e. freeliving) for more habitual assessments [9, 10]. As each anatomical segment of the human body has a characteristic movement pattern, wearable sensor location, calibration and methodologies must be carefully chosen considering the type of activity. The most preferred IMU-based wearable locations for gait analysis are waist, thighs, shanks, and feet [11] and have been used for a variety of different purposes such as activity detection [12], objective assessment of mobility, dynamic balance and concussion assessment [10, 13, 14], Parkinsonism and FoG [15] and phase detection of different neurological conditions [16, 17]. Typically, the current state of the art focuses on wearable IMU's for gait quality assessment. To date, studies have extracted different characteristics such as initial contact (IC) and final contact (FC) moments within the gait cycle to derive temporal parameters (e.g., step time) in various environments [18-21]. Additionally, spatial parameters (e.g. step length) are derived through additional modelling of inertial data [22, 23]. Those technical developments have enabled novel clinical studies to examine neurological gait in greater detail within a laboratory and free-living environments [24-28]. However, studies remain limited to a uni-modal (single IMU) approach and over reliance on temporal and spatial data only [29, 30].

Few studies have investigated multi-modal gait assessment and those that have are confined to indoor use [31-34]. Studies implementing multi-modal gait have utilised multiple IMU wearable and data fusion only for gait or physical activity detection [35-37]. The rationale for multiple (i.e. two or more) sensors attachment to e.g., shank and thigh includes: (1) provision of more reliable ground contact characteristics (IC and FC of the foot/feet) within the gait cycle as they are closer to the walking surface compared to waist and (2) enables additional gait capture, e.g. joint kinematics [38] such as knee flexion angles [39-42]. Yet, modern wearables go beyond IMU technologies by offering additional sensing modalities such as electromyography (EMG) within a single device. That is important as muscle activity (of the lower extremities) during gait needs to be well-coordinated to provide support, dynamic balance, propulsion, and foot clearance as examined during walking and stair ambulation [43, 44]. Thus, a more comprehensive gait assessment tool that utilises spatio-temporal characteristics (e.g., step time and step length), kinematic (e.g., joint angles) and muscle activation (e.g., muscle bursts) can and needs to be developed. The provision of a multi-modal (wearable/sensor, data, and gait characteristic) fusion approach could contribute to a more rounded understanding of impaired gait by providing quantitative spatio-temporal, kinetic and muscle characteristics of individuals. The developed multi-modal tool can enable clinicians to better measure the effectiveness of applied rehabilitation programs and track disease progression and its effects on gait especially for those with a neurological condition.

1.1 Fusion fit for the wild

Fusion of multiple measurement resources presents a promising development for human movement studies such as increased activity recognition and more informed gait assessment [45, 46]. Previously, IMU sensor fusion with accelerometers and gyroscopes was adopted to produce more consistent and reliable outputs [47]. Typically, accelerometers produce useful but limited data such as static and dynamic characteristics but when fused with gyroscopes could deliver relative heading/direction. Sensor fusion often equated to bulky devices, but micro-electromechanical systems (MEMS) facilitated new synchronized/unsynchronized data collection possibilities with discrete wearable technologies. This has enabled more pragmatic multi-modal sensor fusion to provide real-world and clinically relevant information to increase utility and accuracy of rehabilitation systems. For example, fusion approaches have seen acceleration signals fused with electrocardiography (ECG) signals to calculate energy expenditure [48] and electromyography (EMG) signals to monitor functional activities in stroke survivors [49]. However, studies generally rely on gait data gathered indoors within a controlled environment only.

Development of any multi-modal fusion approach needs to examine the methodology in laboratory and free-living based environments. This is important as previous research reported that gait adaptation techniques for maintaining stability are affected by walking terrain [50]. The impact of environment has been investigated in unimodal gait studies for neurological conditions, and significant spatio-temporal differences were revealed between indoor and outdoor/free-living environments [51, 52]. However, understanding potential reasons for poor mobility and falls is limited since additional gait characteristics (i.e., kinematic joint angles and muscle activation) were not previously included. Additionally, outdoor studies focused on activity recognition or activity level tracking rather than specific gait characteristics. For example, a study proposed an ECG, skin conductance, respiration and gait acceleration signals-based gait monitor system for habitual environments, but failed to include clinically relevant lower limb gait characteristics such as spatio-temporal, kinematic and muscle activation [53].

Therefore, the proposed novelty of this study is to investigate multi-modal gait characteristics in both clinical/lab and habitual environments by proposing a novel multi-layer fusion approach along with synchronized IMU and EMG. Although existing chosen wearable algorithms are individually validated for a single gait outcome, these algorithms have not been fused for the purposes outlined here. Multi-modal investigation of neurological gait with clinically relevant characteristics in natural habitats remains lacking, perhaps due to the shortage of developments in the field. Here, we utilise a multi-modal wearable to implement a novel fusion approach consisting of validated algorithms and synchronized sensor data for use in the lab/clinic and beyond such as outdoor level walking, incline walking, stair ascent/descent. Preferred algorithms and locations were chosen based on their performances that were investigated in the literature [11, 38, 54-56] and as part of investigative developments conducted within this study. We hypothesis that the proposed work can better inform gait assessment through adoption of a multi-layer fusion approach (wearables/sensors, algorithms and gait characteristics). Therefore, main contributions of this study are to:

- i. develop a framework that fuses validated wearable-based gait algorithms for multi-modal gait assessment use in laboratory and free-living environments,
- ii. examine implementation by investigating use on a cohort of healthy adults and,
- iii. investigate use within a pilot study of SS to evidence clinical effectiveness for use beyond the clinic/lab, revealing impact of different terrains and activities on spatio-temporal, kinematic and muscle activation,
- iv. provide insight to limitations with existing algorithms

The fusion methodology provided here will showcase how multi-modal gait assessment can be created which could enable clinicians to prepare more informed rehabilitation programs and measure their effectiveness. Section 2 summarises the experimental protocol including participant demographics, data collection protocol and performed gait tasks. Section 3 contains various algorithms adopted here and provide details about pre-processing, used signal, sensor orientation and multi-layer data fusion framework. Section 4 presents the results extracted from the framework, including indoor, outdoor level walking multi-modal gait characteristics and impacts of changing environments for both healthy population and stroke survivors. Experimental results of walking on the rocky surface, incline walking and stair ambulation are provided in supplementary materials. Section 5 present discussions about the multi-modal approach, implementation, and limitations. Finally, conclusions are given in section 6.

2. Experimental protocol

2.1. Participants

Ten healthy participants (HP's) were recruited for the main study $(28.4 \pm 7.0\text{yrs}, 79.2 \pm 14.4\text{kg}, 176.8 \pm 8.4\text{cm}, 8\text{M:2F})$ and three SS $(72.3 \pm 3.1\text{yrs}, 78.5 \pm 12.1\text{kg}, 176 \pm 8.2\text{cm}, 3\text{M}, \text{right side most affected for all})$ for the clinical pilot. Assessment and instrumentation were carried out by a physiotherapist and trained researchers, respectively. Ethical consent was granted by the Northumbria University Research Ethics Committee (REF: 21603). All participants gave informed written consent before participating in this study. Testing took place at the Clinical Gait Laboratory, Coach Lane Campus, Northumbria University, Newcastle upon Tyne.

2.2. Data collection and gait tasks

Each participant wore four Shimmer3 EMG wearables (24.9cm³, 31g) with straps on the lateral side of the thighs and shanks, approximately 7-8 cm above the ankle and knee joints, respectively, (Figure 1, S). Before data collection, wearables attached to the shank and thigh level were positioned in the same vertical line while participant stood still to achieve a better knee flexion angle estimation. The wearable enables multi-modal capture of IMU and EMG data simultaneously. Signals were recorded at a sampling frequency of 512Hz, and IMU configured (16-bit resolution, $\pm 8g$, $\pm 500^{\circ/s}$) prior to data collection. Skin preparation for EMG electrode attachment was performed with alcohol swabs to achieve better skin-electrode contact. Disposable surface electrodes (circular - Ag/AgCI, silver/silver chloride) were placed bilaterally (inter-electrode spacing ≈ 30 mm) on clean skin according to SENIAM recommendations and locations: rectus femoris (**RF**), biceps femoris (**BF**), tibialis anterior (**TA**) and gastrocnemius (**GS**), with a reference electrode on the ankle and knee. In each wearable (worn on the left and right legs), channel 1 (ch1) was assigned to TA and RF muscle groups for shank and thigh level sensors, respectively. Similarly, channel 2 (ch2) was assigned to GS and BF muscle groups for shank and thigh level sensors, respectively.

Each participant was instructed to walk over ground for 2-minutes around a 20m circuit at their preferred self-selected walking speed inside the laboratory. Subsequently, participants walked outdoors with the same wearables. Outdoor walking consisted of a pre-defined route, including ground level walking on different surfaces (e.g., asphalt, uneven rock, pavement) (Figure 1, F1-F2-F3), inclined walking (wheelchair ramp) (Figure 1, F4), ascending/descending stairs (Figure 1, F5-F6), with a physiotherapist and trained researcher (approx. 20 min). For safety, walking on an uneven rock surface and inclined walking on a wheelchair ramp were excluded for SS. Two-minute data recorded inside and outside (on asphalt and pavement) during level walking are presented here (additional walking surface data available online).



Figure 1. Sensor placement and physical tasks. (S) sensor placement illustration, (F1, F2 and F3) free living walking on asphalt, uneven rock surface and pavement, (F4, F5 and F6) free living incline walking, stair ascent and stair descent, respectively.

3. Methodology

Here we present the proposed multi-layered fusion approach by combining validated algorithms, multi-modal sensors, inertial and EMG data culminating in many gait characteristics. IMU and EMG data were transferred to a workstation (Windows 10) from the wearable via proprietary software (Consensys). Custom programs in MATLAB[®] (2019, Statistics and Machine Learning Toolbox, MathWorks, Inc., Natick, US) analysed raw (sample level) IMU and EMG data for spatio-temporal, kinematic and EMG analysis. Stride time was calculated as the average of left and right strides. All spatio-temporal gait characteristic results are presented similar to clinical domains of gait (pace, rhythm, variability and asymmetry) [29, 57].

Various validated algorithms (*A*) were selected to extract informative multi-model gait characteristics. Of critical importance within the suggested approach are initial contact (IC i.e., heel strike) and final contact (FC i.e., toe-off) times for right and left foot derived from the shank mounted wearables. IC and FC events help segment the gait cycle and denote specific regions of interest. Walking periods on different terrains and stair ambulation were manually segmented based on the pre-defined route and time stamps. Participants were asked to stand still for five seconds before and after each activity for more accurate manual segmentation. A general logical flow is presented in Figure 2 and broadly described as follows:

- IC and FC were extracted with two different algorithms. Ground level IC-FC times were detected with (algorithm) 1 (*A1*) [18], whereas incline walking, stair ascent & descent IC-FC times were detected with *A2* [20, 21]. Only step time is calculated using the synchronised left and right shank IMU sensor timestamps. The remaining spatio-temporal parameters are calculated from the right shank sensor for the right side and the left shank sensor for the left side.
- Spatial characteristics (stride velocity and stride length) were estimated using A3 [23] and IC-FC times of A1 and A2, depending on activity (e.g. level walking or incline walking)
- Knee flexion angle and muscle activation for each stride were segmented considering the type of activity.

For example, knee flexion angles during ground level and incline walking were estimated using A4 [39] and A1, while knee flexion angles for stair ascent & descent were estimated with A5 [40] and A2.

• Muscle activation (bursts) patterns were extracted using k-means approach A6 [44] together with A1 (for ground level walking and incline walking) and A2 (for stair ascent & descent), Figure 2.



Figure 2. General flow chart (left to right) of the sensor and data fusion framework, *A* for algorithm used. This details the fusion approach for the right leg only, the same is repeated for the left mounted multi-modal wearables.

3.1. Data pre-processing

Appropriate filtering must be performed to ensure all sensor signals are physiological related and not corrupted by noise [58]. For example, previous studies reported that during barefoot walking, 99% of the acceleration signal is contained frequency below 16 Hz [59, 60]. Thus higher frequencies are filtered out in the majority of the gait studies[61]. Here, various pre-processing algorithms (Table 1) were applied to raw sensor data depending on the parameter to be extracted as detailed in validation studies:

- IC-FC during level walking: a multi-resolution wavelet decomposition was applied on raw angular velocity signal (perpendicular to the sagittal plane), drift and high-frequency artefacts were cancelled by obtaining an approximation, *A1*. A digital filter (second-order Butterworth low pass filter with a cut off frequency of 35Hz) was applied to the collected angular velocity signal to smooth the signal prior to detection of IC and FC during incline walking and stair ascending & descending, *A2*.
- Spatial parameters: Accelerometer and gyroscope signals were filtered (first-order Butterworth low pass filter with a cut off frequency of 5Hz) to cancel high frequency components before the estimation of step velocity from shank mounted sensor. Additionally, the angular velocity signal was filtered (first-order Butterworth low pass filter with a cut off frequency of 0.001Hz) to reduce integration drift, *A3*.
- Knee joint flexion: A third-order Savitzky–Golay filter was applied to smooth the accelerometers and gyroscopes signals before the extraction of knee joint angles, *A4*. Both physical sensors' signals attached to shanks and thighs were filtered (fourth-order Butterworth low pass filter with a cut off frequency of 4 Hz) prior to the estimation of sensor orientation, consequently calculation of the joint angle in **A5**.
- EMG: A zero-lag fourth-order bandpass Butterworth filter with cut-off frequencies of 20Hz and 250Hz was applied to EMG data, followed by rectification, and a second zero-lag fourth-order Butterworth low-pass filtering at 6Hz, A6.

Input:	// upload Shank (S) and thigh (T) sensors,
$Sacc_{x,y,z}(i); Sgyro_{x,y,z}(i);$	accelerometer (acc) and gyroscope(gyro) signals
$Tacc_{x,y,z}(i); Tgyro_{x,y,z}(i);$	// upload EMG channels (EMG _{ch1, ch2}) of upper(thigh) and lower (shank)
S, T _{EMG-ch1, ch2} ;	leg sensors
<i>Fs</i> =512;	// sampling frequency (Fs)
Filtering:	
Sgyro _v =wavedec(Sgyro _v) & appcoef;	// wavelet decomposition and approximation (coif5)-A1
$Sgyro_{y} = lpf(Sgyro_{y});$	// low pass filtering (lpf)-A2
$Sacc_{x,z}Sgyro_{y} = lpf, hpf (Sacc_{x,z}Sgyro_{y});$	// low pass filtering (lpf)- high pass filtering (hpf)- A3
$S, Tacc_{x,z}, S, Tgyro_{y} = sgf(S, Tacc_{x,z}, S, Tgyro_{y});$	// Savitzky–Golay filtering (sgf)-A4
$S, Tgyro_v = lpf(S, Tgyro_v);$	// low pass filtering (lpf)-A5
$S, T-EMG_{ch1, ch2} = bpf, lpf(S, T-EMG_{ch1, ch2});$	// band pass filtering (bpf)-A6

Table 1: Data pre-processing

3.2. Multi-modal wearable and data fusion methodology

Here, validated algorithms are fused i.e., implemented in a co-dependent arrangement to inform the identification and segmentation of the gait cycle during 2-minute indoor and outdoor walking. The fusion approach also utilises inertial data from different sensor locations (shank and thigh) to quantify kinematic data. Lastly, a range of inertial and EMG gait derived gait characteristics are presented in two different cohorts.

3.2.1. A1: IC and FC events during level walking

A previously validated algorithm was used to identify IC-FC times using shank mounted sagittal plane IMU angular velocity [18]. In brief, wavelet decomposition (5th order coiflet, ten scales) was used to split the signal into low (approximation) and high frequency (details) components. Subsequently, drift and high-frequency movement artefacts were removed with an initial approximation. Then, two new approximations were obtained to enhance the detection of IC-FC events, respectively. For each approximation, the time corresponding to the global maximum (t_{ms} = time of mid-swing) of the signals were detected. Finally, IC-FC events (negative peaks) were searched (local minima) in predetermined intervals [IC (t_{ms} +0.25s, t_{ms} +2s), FC (t_{ms} -2s, t_{ms} -0.05s)].

A1:	A1: IC-FC detection and temporal gait characteristic estimation during level walking		
Inp	ut:		
Sgy	$o_{v-r,l}(i);$	// upload right and left shank angular velocities	
Fs =	512;	// sampling frequency (Fs)	
Pro	cedure:		
1.	$a_{2,3}$ =get two new approx.		
2.	for i=1: N	// (1: N=sample number at the end of walking period), mid-swing (ms)	
3.	$msIC_{r,l}$ =find global max points (a ₂);	// reference points for detecting ICs	
4.	$msFC_{r,l}$ =find global max points (a ₃);	// reference points for detecting FCs	
5.	end for		
6.	for $i=1$: numel(a2)		
7.	$ICs_{r,l}$ =find local minima [msIC+0.25s, msIC+2s]	// saving initial contact times	
8.	end for		
9.	for $i=1$: numel(a3)	// saving final contact times	
10.	FCs- _{r,l} =find local minima [msFC-2s, msFC-0.05s]		
11.	end for	// temporal parameter estimations	
<i>12</i> .	for $i=1$: numel(ICs+1)		
13.	stance(i)- _{r,l} =FCs(i+1)-ICs(i);		
14.	swing(i)- _{r,l} = $ICs(i+1)$ - $FCs(i+1);$		
15.	stride(i)- _{r,l} = $ICs(i+1)$ - $ICs(i)$;		
16.	rstep(i) = rIC(i) - IIC(i)	// right/left step time are estimated using timestamp information of	
17.	lstep(i) = lIC(i+1) - rIC(i)	right/left IC-FC times	
18.	end for		
19.	StepTimeVar=sqrt((var(rstep)+ var(lstep))/2);		
20.	StepTimeAsym = abs(mean(lstep)-mean(rstep));	// variance calculation	
Out	put: rIC, rFC, IIC, IFC;	// asymmetry calculation	
stan	<i>ce times</i> - <i>_{r,l};swing times</i> - <i>_{r,l};stride times</i> - <i>_{r,l};step times</i> - <i>_{r,l};</i>		

3.2.2. A2: IC and FC events during inclined walking and stair ascent or descent

Formento *et al.* validated an algorithm for IC-FC detection during inclined walking [20] and stair ascent or descent [21]. Similar to AI, IC-FC events were estimated based on the detection of two negative peaks considering the swing period as a reference point in the shank angular velocity signal. In the AI, IC-FC events were searched in predetermined intervals, whereas, in A2, these events were detected based on a set of predetermined rules. Briefly, the algorithm begins with searching the swing phase of a gait cycle. When the gyroscope signal exceeds a predetermined threshold for at least 40 milliseconds, the algorithm considers the swing phase is detected. Then, the first negative minimum after swing phase is defined as IC. Around the time of IC, the gyroscope signal may present further negative peaks related to events during the loading response. In order to avoid false FC detection during that time, a "waiting time" was set during which there was no search for FC events. The waiting time was set to be 50% of the duration of the positive wave for the first step analysed and 50% of the last stance phase for the remaining steps. Once waiting time is over, FC is defined as the sample that represents a minimum negative peak in a window of 200ms, that is preceded by a decreasing (more negative angular velocity) trend in the signal and followed by an increasing (more positive voltage) trend.

A2: IC-FC detection and temporal gait characteristic estimation during incline walking and stair ascent or descent				
Input:				
$Sgyro_{y-r,l}(i);$	// upload right and left shank angular velocities			
Fs=512;	// sampling frequency (Fs)			
Procedure:				
1. for $i=1: N$				
2. ms=find global max points (Sgyro _{y-r,l});	// (1: N= sample number at the end of walking period), mid-swing (ms)			
3. end for	// reference points for detecting ICs and FCs			

4.	for i=1: numel(ms)	
5.	$ICs_{r,l}$ =find local minima after [ms]	
6.	set waiting time	// saving initial contact times
7.	$FCs_{r,l} = find following local minima after waiting time$	
8.	end for	// saving final contact times
9.	for $i=1$: numel(ICs+1)	
<i>10</i> .	$stance(i)_{r,l} = FCs(i+1) - ICs(i);$	// temporal parameter estimations
11.	$swing(i)_{r,l} = ICs(i+1) - FCs(i+1);$	
<i>12</i> .	stride(i)- _{r,l} = $ICs(i+1)$ - $ICs(i);$	
13.	rstep(i) = rIC(i) - IIC(i)	// right/left step time are estimated using timestamp information of
14.	lstep(i) = lIC(i+1)-rIC(i)	right/left IC-FC times
15.	end for	
Out	put: rIC, rFC, lIC, lFC;	
stan	ce times-"" swing times-" stride times-" sten times-"	

3.3. A3: Spatial parameter extraction during ground level walking

t .

A validated algorithm (A3) [23] was used to estimate spatial parameters (stride velocity) from shank mounted IMU. The algorithm is an improved and simplified version of [22], where both horizontal and vertical accelerations were considered. As only horizontal velocity and displacement are needed, acceleration and angular velocities in the sagittal plane (the plane of progression) were considered, vertical components were excluded.

First, gait cycles were segmented from mid-stance to mid-stance (unlike A1 and A2) based on the assumption that the velocity of the shank is zero in the moment of mid-stance, the moment when the shank is parallel to the direction of gravity. Then, the angular velocity signal was integrated to calculate Θ for each gait cycle, Eq. 1. Afterwards, horizontal acceleration components of the sensor's coordinate system were calculated for the global coordinate system using calculated Θ (Eq.2). Finally, horizontal velocity was computed with the integration of horizontal acceleration and corrected with the horizontal velocity component Eq. 3. Horizontal correction velocity (V_{hor-correction}) component was calculated considering the initial horizontal speed at the start of the stride and the distance (Figure 1, h3) between the ankle joint and shank wearables. Finally, the stride length is calculated by multiplication of corrected horizontal stride velocity and stride time (estimated temporal parameter) for each gait cycle, Eq. 4. Results of the developed algorithm suggest that the distance between the shank mounted wearable and the ankle (h3) has a negligible impact (\pm 2cm) on the accuracy of the measure [23]. Study findings also reported that the effects of numerical drifts are insignificant as integrations are performed for a short period of time - only gait cycle (max 1.4s).

$$\theta(t) = \int_{0}^{t_{max}} \omega_s(t) dt \tag{1}$$

$$a_{hor}(t) = \cos\theta(t)ax(t) - \sin\theta(t)az(t)$$
⁽²⁾

$$v_{hor}(t) = \int_{0}^{t_{ord}} a_{hor}(t)dt + v_{hor-correction}$$

$$Stride_length = v_{hor} \ x \ stride_time$$

$$(3)$$

where, θ and ω_s are orientation angle and shank angular velocity, respectively. The a_{hor} , v_{hor} and t are horizontal acceleration, velocity, and the duration represents stance to stance period, respectively.

A3:	Stride length and velocity estimation	
Inpu	ıt:	
Sacc	$c_{x, z-r,l}(i); Sgyro_{y-r,l}(i);$	// upload right and left shank accelerations and angular velocities
Fs =	512;	// sampling frequency (Fs)
Proc	cedure:	
1.	for i=1: numel(rIC-lIC)	
2.	find mid-stance = max (Sgyro _{y-r,l} (ICs $_{r,l}(i)$: FCs $_{r,l}(i+1)$))	// segmenting relevant signals from mid stance to mid stance for a stride
3.	segmented $Sacc_{x,z-r,l}(i) = Sacc_{x,z-r,l}(mid-stance(i): mid-$	using timestamp information of ICs and FCs
	stance $(i+\overline{1})$;	
4.	segmened $Sgyro_{v-r_{i}}$ (i) = $Sgyro_{v-r_{i}}$ (mid-stance(i): mid-	
	stance (i+1));	
5.	end for	
6.	segmened Sgyro _{v-r,l} = $deg2rad(segmened Sgyro_{v-r,l})$	// compart angle from doguess to undigue
7.	theta (i) = integration of segmented Sevro (i) :	// convert ungle from degrees to radians
8	costheta=cos(theta): sintheta=sin(theta):	// culculation of the orientation of the sensor across a stride
9	for $i=1$: numel(theta)	
10	ahor (i) = costheta(i) * Sacc (i) = sintheta(i) * Sacc (i)	// estimation of horizontal acceleration in world coordinate system
11	and for	" estimation of non2onial acceleration in work coordinate system
11.	ena jor	//calculation of the velocity and displacement across a stride
12.	<i>vnor</i> $_{r,l}$ = <i>integration of anor</i> $_{r,l}$ + <i>vnor</i> $_{correction}$	reacculation of the verberry and displacement deross a struct

- 13. Stride_length=mean(vhor)*stride_time
- 14. Output: vhor_{r.l}; Stride_length r,l;

3.4. Kinematic angles

3.4.1. A4: Knee angle estimation during level walking

Kinematic joint angles are typically calculated from the orientations of IMU wearables that are estimated either using gravitational acceleration or integrated angular velocity [40]. In the latter, error (drift) may occur due to integration. One method to avoid integration drift is to use neural networks, which require training from sufficient data involving a large number of participants [62]. Kalman filtering is another approach, but three dimensional orientation errors reported [63]. However, in the former approach, it is possible to estimate the orientation of sensors by the gravitational acceleration in static states, but in dynamic states like gait, translational acceleration will be included.

Takeda *et al.* [39] developed an algorithm (a simplified version of [41]) considering measurements at the centre of a proposed link model. The developed algorithm estimates knee flexion angles for a dynamic state (level walking) after elimination of translational acceleration. Here, [39] was replicated to estimate knee flexion angles. First, each stride was segmented from continuous walking using IC-FC estimations (*A1*). Then, segmented acceleration and angular velocity signals from each left and right thigh and shank were used to estimate knee flexion. For the purposes of this study, angular velocity and the sensor distance from knee was used to calculate the translational acceleration during gait, Eq5. The estimated translational acceleration was then subtracted from the measured acceleration data to obtain the gravitational acceleration. The gravitational acceleration provided the orientation angle of the segments and, consequently, the three-dimensional posture of lower limb segments, Eq. 6. Once the orientation of each segment was calculated, knee flexion was estimated by the difference between the angle of inclination of shank and thigh, Eq. 7.

$$\ddot{r}_{KS} = \dot{\omega}_S \times r_{KS} + \omega_S \times (\omega_S \times r_{KS}), \ \ddot{r}_{KT} = \dot{\omega}_T \times r_{KT} + \omega_T \times (\omega_T \times r_{KT})$$
(5)

where \vec{r}_{KS} and \vec{r}_{KT} are calculated translational accelerations for shank and thigh sensors, respectively. ω_s and ω_T are angular velocity signals of shank and thigh sensors, r_{KS} and r_{KT} are the distance of the attached sensors from knee (Figure 1, h1-h2).

$$\theta_1 = \arctan(|O_T - \ddot{r}_{KT}|_x / |O_T - \ddot{r}_{KT}|_z) \quad \theta_2 = \arctan(|O_S - \ddot{r}_{KS}|_x / |O_S - \ddot{r}_{KS}|_z) \tag{6}$$

$$\theta_{Flexion} = \theta_2 - \theta_1 \tag{7}$$

where O_s and O_T are raw acceleration outputs of sensors.

A4: Knee joint flexion-extension angle estimation

Input:	
$Sacc_{x, z-r,l}(i)$; $Sgyro_{y-r,l}(i)$; $Tgyro_{y-r,l}(i)$; $Tacc_{x, z-r,l}(i)$;	// upload right and left shank accelerations and angular
Fs=512;	velocities
Procedure:	// sampling frequency (Fs)
1. for i=1: numel(rIC-IIC)	
2. segmented $Sacc_{x, z-r, l}(i) = Sacc_{x, z-r, l}(ICs_{r, l}(i): ICs_{r, l}(i+1));$	
3. segmened $Sgyro_{y-r,l}(i) = Sgyro_{y-r,l}(ICs_{r,l}(i): ICs_{r,l}(i+1));$	
4. segmented $Tacc_{x,z-r,l}(i) = Tacc_{x,z-r,l}(ICs_{r,l}(i): ICs_{r,l}(i+1));$	// segmenting relevant signals for a stride using timestamp
5. segmened $Tgyro_{ver,l}(i) = Tgyro_{ver,l}(ICs_{r,l}(i): ICs_{r,l}(i+1));$	information of right and left ICs and FCs
6. end for	
7. segmened $S, Tgyro_{y-r,l} = deg2rad(segmened S, Tgyro_{y-r,l})$	// convert angle from degrees to radians
8. \ddot{r}_{yyy} (i)= diff (segmented Source) r_{yyy} + segmented Source	" convert ungle from degrees to rudians
	// calculation of translational accelerations
$(segmened_Sgyro_{y-r,l} \cdot r_{KS});$	······································
9. \vec{r}_{KT} (i) = diff (segmented_ Tgyro _{y-r,l}). r_{TS} + segmented_ Tgyro _{y-r,l} .	
(segmened $Tgyro_{vrl}, r_{KT}$);	
10 theta = $atan((abs(Tacc_{+} - \ddot{r}_{+-}))) / (abs(Tacc_{+} - \ddot{r}_{+-})))$	// estimation of orientation angle of shank and thigh
$10: meta_{T} utim((ubs(Tube-r, T T_{KT}))_{X} (ubs(Tube-r, T T_{KT}))_{Z}),$	sensors
11. theta ₂ = atan((abs(Sacc _{-r,l} - $\ddot{r}_{KS}))_x / (abs(Sacc_{-r,l} - \ddot{r}_{KS}))_z);$	
12. $theta_{F,F} = theta_2 - theta_1$	// calculation flexion extension angle
13. theta _E = $rad2deg(theta_E)$	// convert angle from radians to degree
Output: theta _{F-F}	

3.4.2. A5: Knee angle estimation during inclined walking, stair ascent and descent

Nestares and Callupe developed an algorithm based on orientations of shank and thigh level sensors to evaluate

knee joint angle during level walking and stair ascent on HP and SS [42]. The study reported that shank and thigh level sensors' orientation could compute knee flexion angles with high accuracy during level walking and stair ambulation. The developed algorithm used a complementary filter to estimate sensor orientations. However, it was reported that the fusion coefficient of a complementary filter is too sensitive to be pragmatically used and thus requires additional operations [64]. An alternative and more practical way of estimating sensor orientation is integrating angular velocity as suggested by Tong *et al.* [40] (during level walking).

Here, a novel application of both algorithms was utilised for the purpose of this study to achieve a practical knee flexion angle estimation algorithm during incline walking and stair ambulation. First, each stride was segmented from continuous walking using ICs and FCs (*A2*). Then shank and thigh sensor angular velocities were integrated to estimate sensor orientation (inclination) across a stride, Eq. 8. Finally, the knee angle was calculated by subtracting the inclination (orientation angle) of the thigh from the inclination of the shank, Eq. 9 (similar to *A4* Eq.7).

$$\theta(t)_{S} = \int_{0}^{t_{end}} \omega_{S}(t) dt, \ \theta(t)_{T} = \int_{0}^{t_{end}} \omega_{T}(t) dt$$

$$\theta_{F-E} = \theta_{S} - \theta_{T}$$
(8)
(9)

where ω_s , ω_t and t are angular velocities measured from shank and thigh sensors and gait cycle period (stride time), respectively.

A5: Knee joint flexion-extension angle estimation	
Input:	
$Sgyro_{y=r,l}(i); Tgyro_{y=r,l}(i);$	// upload right and left shank angular velocities
<i>Fs</i> =512;	// sampling frequency (Fs)
Procedure:	
1. for $i=1$: numel(rIC-lIC)	
2. segmened_Sgyro _{y-r,l} (i) = Sgyro _{y-r,l} (ICs _{r,l} (i): ICs _{r,l} (i+1));	// segmenting relevant signals for a stride using timestamp
3. segmened $Tgyro_{y-r,l}(i) = Tgyro_{y-r,l}(ICs_{r,l}(i): ICs_{r,l}(i+1));$	information of ICs and FCs
4. end for	
5. segmened $S, Tgyro_{y-r,l} = deg2rad(segmened S, Tgyro_{y-r,l})$	// compart angle from decrease to rediane
6. theta ₁ (i) = integration of segmented Tgyro $_{v=r_1}$ (i);	// convert angle from degrees to radians
7. theta ₂ (i) = integration of segmented_Sgyro _{y-r,l} (i);	// estimation of orientation angle of snank and migh sensors
8. theta $_{F-E}$ =theta ₂ -theta ₁	// calculation flexion extension angle
9. theta $_{F-E} = rad2deg(theta_{F-E})$	// convert angle from radians to degree
Output: theta _{F-E}	
•	

3.5. A6: EMG muscle activity (burst) detection

Detection of muscle activity/inactivity and overall level of activity in a muscle at any time is relatively identifiable from the linear envelope of raw EMG signals. There are various methods to extract the linear envelope of EMG signal such as root mean square (RMS), mean of moving window, and use of a set of filters along with rectification [65, 66]. Once the linear envelope is extracted, muscle activity/inactivity can be detected via a predetermined threshold, manual observation, or clustering algorithms[67]. The latter finds resemblances between data points and groups these according to their similarities.

Here, the filters described in Section 3.1 (*A6*) and full-wave rectification were used to extract the linear envelope of the EMG signal, while k-means clustering was used to search muscle bursts (activity). The rationale for k-means is that it does not require a priori setting of thresholds for each individual and has shown the ability to differentiate burst, even when bursts are short or have spike-like characters [68]. Similar to [44], each data point in the EMG linear envelopes are clustered into subsets of data using k-means. Then, EMG signals are dichotomised into periods of activity and inactivity according to the amplitude of each data point. Here, the numbers of centroids (clusters), which influence sensitivity, were set to five after visual inspection for all EMG signals analysed. Muscle inactivity is identified for the lowest two clusters, whereas the remaining three clusters are accepted as muscle activity. All EMG values for each participant underwent time normalisation within the gait cycle and amplitude normalisation to the highest EMG value in the gait cycles.

A6 Muscle burst detection via k-means clustering	
Input:	//upload EMG channels (EMG _{ch1, ch2)} of upper(thigh) and
S, T - $_{EMG-ch1, ch2};$	lower leg (shank) sensors
Fs = 512;	// sampling frequency (Fs)
Procedure:	
1. for i=1: numel(rIC-lIC)	// segmenting relevant signals for a stride using timestamp
2. segmened_ $S_{EMG-ch1, ch2-r,l}(i) = S_{EMG-ch1, ch2-r,l}(ICs_{r,l}(i): ICs_{r,l}(i+1))$)); information of ICs and FCs
3. segmened_ $T_{EMG-chl, ch2-r,l}(i) = T_{EMG-chl, ch2}; -r,l(ICs_{r,l}(i): ICs_{r,l}(i))$	1));
4. end for	

5. // k-means clustering (# of cluster is five) [idx_segmened_S_{EMG-ch1,ch2-r,l},mean_val] // sort calculated mean value (descend) 6. =kmeans (segmened $S, T_{EMG-chl, ch2-r,l}, 5$); 7. mean_val= sort(mean_val,'descend'); for i=1: numel (segmened_S, $T_{EMG-ch1, ch2-r,l}$) 8. // find muscle activation if EMG envelope value is greater 9. if segmened S, T_{EMG-ch1, ch2-r,l} (i) < mean val1(4) than lowest two mean values **10.** kmeans_S, $T_{EMG-chl, ch2-r,l}$ (i)=muscle_off; 11. else 12. kmeans_S, T_{EMG-ch1, ch2-r,l} (i)=muscle_on; 13. end if 14. end for Output: kmeans S, T_{EMG-ch1, ch2-r},

4. Results

This novel fusion approach quantifies and contrasts temporal, spatial, knee joint kinematics, and muscle activation characteristics in (i) HP's during 2min walks in a lab (indoor) vs 2min outdoor walking on level ground, and (ii) in a pilot study of SS walking for 2mins, indoor vs outdoor. Here, results are deemed suitable for exploratory investigation as they are derived from well validated algorithms for use on level ground terrain. Similar modes of investigation have been conducted previously, examining uni-modal, spatio-temporal gait between clinic/lab and habitual environments [52].

Outputs of the fusion approach can be classified as; spatio-temporal, knee joint flexion and muscle activation patterns. Muscle bursts timing and durations are presented throughout the gait cycles. Multi-model gait characteristics of the left side for one HP participant (#9) during outdoor level walking were not extracted due to wearable malfunction; therefore, only mean values for the right side were calculated. IC-FC events were not detected for the paretic side of one SS participant (#3) as algorithms (*A1-A2*) failed to detect peaks due to poor gait (section 5.3); therefore, only mean values for the non-paretic side were calculated.

4.1 Healthy participants

4.1.1 Two-minute walks: Spatio-temporal, kinematics and EMG

There were differences in gait domains for spatio-temporal characteristics between indoor and outdoor walks, Table 2. Generally, participants walked with greater *pace* and *variability* but with decreased *rhythm* in outdoor compared to indoor level walking (stride length variability characteristic did not experience any changes between outdoor level walking and indoor). Among *asymmetry* characteristics, only stride length asymmetry found higher during indoor level walking compared to outdoor. There were slightly increased mean knee flexion angles (~1°) and decreased variance and asymmetry in outdoor level walking compared to indoor, Table 2. Although there are large inter-individual differences among participants, common muscle burst timing and durations patterns can be extracted via EMG signals [44], where common muscle activity patterns were observed within a gait cycle, Figure 3. Regardless of indoor/outdoor, the prevalence of TA muscle activation had similar patterns with RF and BF, all active around the start and end of a gait cycle during level walking. TA was also found active at stance to swing transition period (around FC) and throughout the swing phase in some participants. BF muscle activation was observed at the end of a gait cycle around the time of the next IC. GS prevalence was observed mostly during the later stance phase before the FC moments for push-off of the foot. (Individual data available via online supplementary material- Table S2-S3-S5).

Table 2: Multi-modal gait characteristics of healthy participants during 2-minute walks					
		<u>Indoor</u> 99.6		Outdoor 108.1	
	# Mean of strides				
		Mean	$\pm SD$	Mean	$\pm SD$
	PACE				
	Mean Stride V. (m/s)	1.174	0.127	1.319	0.101
	Mean Stride L. (m)	1.332	0.147	1.415	0.142
	RHYTHM				
	Mean Stride Time (s)	1.136	0.082	1.074	0.060
	Mean Step Time (s)	0.566	0.037	0.534	0.032
SD ITIO TEMPOD II	Mean Stance Time (s)	0.647	0.057	0.597	0.038
	Mean Swing Time (s)	0.489	0.039	0.476	0.034
SPATIO-TEMPORAL	VARIABILITY				
	Stride V. Var (m/s)	0.105	0.024	0.125	0.023
	Stride L Var (m)	0.130	0.041	0.129	0.029
	Step Time Var (s)	0.034	0.020	0.039	0.013
	Stance Time Var (s)	0.014	0.010	0.050	0.012
	Swing Time Var (s)	0.018	0.011	0.043	0.004
	ASYMMETRY				
	Stride L. Asy (m)	0.086	0.062	0.104	0.068

Step Time Asy (s)	0.033	0.006	0.025	0.022
Stance Time Asy (s)	0.041	0.010	0.012	0.008
Swing Time Asy (s)	0.044	0.007	0.011	0.007
Mean K.F.E angle	62.621°	4.229°	63.580°	5.220°
Variability	5.1875°	1.217°	4.490°	1.239°
Asymmetry	1.8117°	1.040°	1.593°	1.069°
	Step Time Asy (s) Stance Time Asy (s) Swing Time Asy (s) Mean K.F.E angle Variability Asymmetry	Step Time Asy (s) 0.033 Stance Time Asy (s) 0.041 Swing Time Asy (s) 0.044 Mean K.F.E angle 62.621° Variability 5.1875° Asymmetry 1.8117°	Step Time Asy (s) 0.033 0.006 Stance Time Asy (s) 0.041 0.010 Swing Time Asy (s) 0.044 0.007 Mean K.F.E angle 62.621° 4.229° Variability 5.1875° 1.217° Asymmetry 1.8117° 1.040°	Step Time Asy (s) 0.033 0.006 0.025 Stance Time Asy (s) 0.041 0.010 0.012 Swing Time Asy (s) 0.044 0.007 0.011 Mean K.F.E angle 62.621° 4.229° 63.580° Variability 5.1875° 1.217° 4.490° Asymmetry 1.8117° 1.040° 1.593°

Stride V = stride velocity, Stride L = stride length. Var = variability, Asy = asymmetry

(K.F.E) knee flexion

Bold indicate greater mean values comparing indoor to outdoor.



Figure 3. Muscle activity pattern healthy participants for indoor/outdoor ground level walking

4.2 Pilot study: Multi-modal gait analysis in stroke survivors

The process of extracting multi-modal gait during level walking is generally illustrated in Figure 4, highlighted here for those with stroke gait. The proposed sensor and data fusion tool provides multi-model gait characteristics during indoor and outdoor activities, but IC-FC times must be detectable initially.



Figure 4. Level walking extracted parameters from the proposed tool. (A) Raw wearable IMU data for EMG (a1-a2) and angular velocity (a3-a4) – black represents shank mounted sensors – grey represents thigh mounted sensors, (B) Shank angular velocity of paretic and non-paretic sides: initial (dots) and final (stars) contact moments, (C) outcome of sensor fusion work for non-paretic and paretic sides: (c1) temporal characteristics where long dot dush, square dot, solid line and round dot represents (top-to-bottom) stride, stance, step and swing times respectively: (c2) estimated kinematic knee angles: (c3-c4-c5-c6) EMG activity for TA, GS, RF, and BF, respectively. a.u, Arbitrary unit-peak normalised EMG.

4.2.1 Two-minute walks: Spatio-temporal, kinematics, and EMG

Although SS presented similar shank angular velocity patterns with disturbances (e.g., oscillations) between paretic and non-paretic sides during ground-level walking, extracted indoor and outdoor temporal and spatial characteristics varied, Table 3. (Individual data available via online supplementary material- Table S4-S6-S7). SS walked with increased *pace* and decreased *rhythm* during outdoor level walking compared to indoor. Swing time asymmetry is the only *asymmetry* characteristic that was found to be higher during indoor compared to outdoor. Among *variability*, there was no difference for stride velocity, but stance time was lower during indoor level walking compared to outdoor. (Individual and left/right data available via online supplementary material).

Noticeable differences were observed for mean, variance and asymmetry of knee joining angles. Increased mean knee flexion angles (~4°) and decreased variability and asymmetry were found during outdoor walking, compared to indoor, Table 3. Muscle activity (bursts) during indoor and outdoor walking presented in Figure 5. TA, RF and BF muscle burst were detected around the starting and ending moments of gait cycles (around IC moments). GS muscle bursts most frequently observed in the stance phase in most SS.

	# Mean of strides	Indoor		Outdoor	
		93.6		109.33	
		Mean	±SD	Mean	$\pm SD$
	PACE				
	Mean Stride V. (m/s)	1.021	0.049	1.067	0.119
	Mean Stride L. (m)	1.303	0.134	1.384	0.338
	RHYTHM				
	Mean Stride Time (s)	1.254	0.077	1.235	0.130
	Mean Step Time (s)	0.614	0.041	0.535	0.011
	Mean Stance Time (s)	0.770	0.085	0.748	0.142
	Mean Swing Time (s)	0.483	0.045	0.452	0.016
	VARIABILITY				
SPATIO-TEMPORAL	Stride V. Var (m/s)	0.189	0.013	0.182	0.033
	Stride L Var (m)	0.275	0.046	0.224	0.052
	Step Time Var (s)	0.100	0.096	0.033	0.006
	Stance Time Var (s)	0.070	0.058	0.074	0.002
	Swing Time Var (s)	0.071	0.052	0.037	0.002
	ASYMMETRY				
	Stride L. Asy (m)	0.197	0.179	0.290	0.182
	Step Time Asy (s)	0.060	0.003	0.102	0.061
	Stance Time Asy (s)	0.063	0.001	0.088	0.046
	Swing Time Asy (s)	0.062	0.001	0.067	0.036
	Mean K.F.E angle	48.120°	1.196°	52.096°	1.014°
KNEE JOINT KINEMATICS	Variability	6.064°	0.188°	5.297°	0.660°
	Asymmetry	22.251°	4.506°	19.920°	6.821°

Table 3: Multi-modal gait characteristics of stroke survivors during 2-minute walks

Stride V = stride velocity, Stride L = stride length. Var = variability, Asy = asymmetry (K.F.E) knee flexion

Bold indicate greater mean values comparing indoor to outdoor



SS=Stroke survivor

Figure 5. Muscle activity pattern stroke survivors for indoor vs. outdoor ground level walking. IC-FC moments were not able to detect for the paretic side of SS survivor (#3). Thus, only the left side muscle activity patterns are segmented only.

4.3. Impact of changing terrain

The fusion approach can quantify multi-model gait characteristics on different terrains, but we present level ground data only. Multi-model gait characteristics and descriptions of HP's and SS during different indoor (e.g., stairs) and outdoor (e.g., cobbles) terrains are presented in online supplementary material (Appendices A to D) but mentioned briefly here.

• <u>Spatio-temporal characteristics</u>: Comparing spatio-temporal gait characteristics of HP and SS in four domains during indoor/outdoor walking activities revealed notable differences. Among all indoor/outdoor walking activities of HP, the highest *pace* along with the lowest *rhythm* and *asymmetry* were found during outdoor level walking. Also, spatial parameters experienced the highest values for the *variability* domain, whereas temporal parameters were found second-highest in outdoor level walking after incline walking.

SS groups experienced slightly increased *pace* and increased *asymmetry* during outdoor walking compared to indoor.

• <u>Knee joint kinematics</u>: HP revealed that mean knee flexion angles did not experience significant change while indoor/outdoor ground-level walking and walking on a rock surface.

SS group revealed a slightly increased knee flexion angle ($\sim 4^{\circ}$) during outdoor level walking compared to indoor level walking. When comparing the paretic side and non-paretic side knee flexion angles of each SS, higher differences observed, Figure 4-c2.

• <u>EMG, burst timing and durations during level walking</u>: Prevalence of muscle burst and duration showed similar patterns between the right and left sides of lower limb muscles in most HP. Additionally, durations of muscle burst slightly decreased during outdoor level walking compared to indoor in most HP.

The durations of muscle burst found slightly decreased during outdoor level walking compared to indoor in most SS.

5. Discussion

To the authors' knowledge, this is the first study to present and explores multi-modal sensor, algorithm and data fusion in clinic/lab and habitual/free-living gait. The methodologies provide a comprehensive range of lower limb gait characteristics (spatio-temporal, kinematics, and EMG) for use in different environments. The work presented here shows how algorithms developed in isolation can be successfully adapted and fused to create a more rounded/holistic gait assessment tool for use in the clinic/lab and beyond. The multi-modal fusion approach proposed here may better contribute to gait studies for clinical as well as habitual gait assessments, better informing rehabilitation programs that aim to regain community-based ambulatory mobility for those with neurological conditions such as stroke. Improved understanding of gait through our proposed multi-modal approach could lead to better understanding the effect of walking environment and how that contributes to the underlying mechanisms to reduce mobility and induce falls.

The proposed fusion methodology defined here consists of detection IC-FC contact moments along with timestamp information (using A1-A2 algorithms and shank sensors data) by considering the type of activity (e.g., level walking or incline walking). That enables segmentation of gait cycles and sub-phases (stance and swing periods) as well as extraction of temporal parameters (e.g., step time). Then, gait cycles are segmented from mid-stance to mid-stance using the IC-FC information obtained from A1-A2, and spatial characteristics (A3 and shank sensors data). Afterwards, knee joint flexion angles are estimated (A4-A5 and shank-thigh sensors data) by considering the type of activity (e.g., level walking or stair ambulation) for each gait cycle segmented. Finally, segmented gait cycles and corresponding timestamp information were used to segment EMG data belonging to four different lower limb muscles and muscle onset/offset timings (using A6).

Previous studies have investigated gait during free-living to better understand the impacts of real-life settings such as environmental factors on gait [69, 70]. Most of these studies aim to extract clinically useful gait characteristics (spatio-temporal, kinematics) and are based on camera and IMUs. However, camera-based systems are not pragmatically feasible due to several factors such as privacy, security and, limited data capture due to field of vision [3, 71]. Although existing inertial sensor-based studies use a more feasible data collection approach, most fail to include clinically useful gait characteristics such as lower limb kinematics [72]. Additionally, the number of those focusing on free-living gait analysis in neurological conditions (e.g. stroke) is very limited and provides uni-modal characteristics only [3]. Those who investigated a multi-sensor fusion approach, utilised wearable sensors attached to the right lower limb for use during indoor level walking only [34]. Although that study quantified kinetic characteristics with a pressure sensor, spatial characteristics were not included.

5.1. The multi-modal approach

Multi-modal wearable sensor deployment is of growing interest during free-living activities. For instance, an approach to develop a vital sign monitoring system involving physiological components (e.g., respiratory band, electrocardiography) has been presented previously [53]. Another study used a similar approach where multiple

sensors were fused to develop a body sensor network that can measure motor functions in children with spastic diplegia [33]. Multi-modal wearable sensor use is possible due to the miniaturisation of wearable technologies and the increasing paradigm shift to monitoring people in their habitual environments. As gait is now classed as the sixth vital sign [73], it is important that multi-modal approaches are developed to capture gait in its entirety across more natural environments.

Most gait analysis studies have been conducted that do not immediately aim to make clinical decisions but to learn about a condition affecting a group of patients or the effect of an intervention [74]. Also, studies are based on a single sensor and provide either activity detection or informative gait outcomes. However, the next generation of wearables could be fused in a way that human activity assessment (i.e. activity detection and gait characteristics extraction) can be done using multiple sensor configurations [32]. Contemporary gait analysis requires evaluation of various aspects (e.g. kinematic, muscle) of the lower limb with a large number of outcomes [3]. Variances in gait are very subtle [45], and so the multi-modal gait approach enables granular capture of characteristics considering key digital biomarkers, i.e., clinically relevant gait characteristics. A study already reported that these variances/fluctuations in gait can be used to differentiate a particular neurological condition from healthy participants using gait data along with complexity measures [75]. Here, subtle differences were observed between indoor and outdoor level walking (as the differences between walking on various outdoor surfaces and stair ambulation) for HP and SS. This corroborates the benefit of using wearables for outdoor/habitual gait assessment as observed in another neurological cohort, albeit with a uni-modal device in Parkinson's disease [24]. Use of a multi-modal sensor and data fusion approach may provide more insights into the underlying neurological mechanisms due to, e.g., changing terrain.

Spatio-temporal outcomes have been widely used to reveal distinctive gait deficits and interpret impaired gait during indoor and outdoor assessments. Particularly for outdoor assessments, a previous study reported gait adaptations strategies to maintain stability are sensitive to different walking surfaces [50]. Thus, investigating the adaptation of pace on various surfaces may help better understand control on the sensory, motor and cortical functions that are critical to minimise trips, slips and falls [3]. Additionally, the proposed multi-modal sensor fusion approach efficiently computed spatio-temporal characteristics during indoor and outdoor gait for a more holistic gait assessment. Here, extracted spatio-temporal characteristics (e.g., indoor step, stance, swing times: 0.566s, 0.647s, 0.489s, respectively, outdoor step, stance, swing times: 0.534s, 0.597s, 0.476s, respectively) show good agreement with previous indoor level walking (0.534s, 0.668s, 0.401s) [76] and outdoor level walking (0.593s, 0.741s, 0.449s) studies [24] for HP. The small difference between the extracted temporal results perhaps is due to the difference between preferred experimental protocols, preferred sensor location, sensors, and algorithms. This is equally true for SS; indoor level walking (step, stance, swing times: 0.614s, 0.770s, 0.483s, respectively) and outdoor level walking (step, stance, swing times: 0.535s, 0.748s, 0.452s, respectively) findings of this study show good agreement with a previous study [51], where indoor level walking (step, stance, swing times: 0.6 s 0.743s 0.485, respectively) and outdoor level walking (step, stance, swing times: 0.613s, 0.764s, 0.474s) are reported. However, small differences (e.g., in stance-swing times < 0.09s) were also observed in the stroke population due to referenced studies using a single IMU attached to the lower back compared to our approach of two IMU's attached to both shanks. Performance comparison of sensor locations and used methodology was further investigated [55, 56]. It was found that the shank-based methods provide more accurate temporal results compared to lower back based methods because the sensor is closer to IC-FC points of the foot. Moreover, reference studies used an algorithm based on acceleration signals whereas the proposed fusion approach used algorithms based on angular velocity for extracting spatiotemporal outcomes. The proposed multi-modal approach also attests to the existing knowledge that stroke survivors are high likely to experience decreased stance time and increased swing time in the paretic side, compared to non-paretic[77], Table S7.

Many physical therapy techniques focus on the restoration of joint kinematics and hence promote rehabilitation of functional activities [78]. Thus, kinematic joint characteristics are crucial as these characteristics provide additional insight into indoor/outdoor gait analysis. The prevalence of joint kinematic analysis in gait studies is low as kinematic characteristics require lab-based motion analysis systems that are complex and costly or goniometers, which brings synchronisation issue with other technologies [3]. Alternatively, a few gait studies estimate joint angles (e.g. knee flexion) during indoor and outdoor activities using wearable sensors [39, 41]. Findings of the proposed multi-modal sensor fusion tool (62.621° , 48.120° for indoor level walking of HP and SS, respectively) show good agreement with previous study findings based on indoor level walking ($\sim 60^{\circ}$, $\sim 40^{\circ}$ for indoor level walking of HP and SS) [79, 80] and outdoor [81, 82] activities in terms of estimated knee joint angles. Additionally, stroke participants experience decreased knee flexion angles during indoor/outdoor level walking in the paretic side, compared to non-paretic as previously reported [80].

Muscle activation pattern analysis of one or more muscles, particularly when the examination is conducted together with additional gait characteristics such as kinematics (joint angles), provides better insight into the performance of muscles and their role in accomplishing a motor task [43]. Although other crucial parameters, such as walking velocity and age that affect muscle burst timing and durations exist [83], comprehensive knowledge of

muscle activation and co-activation may contribute to the individualised bespoke rehabilitation programs[67]. The findings of the proposed multi-modal fusion tool attest to the common muscle activation patterns in terms of muscle burst timings and durations during indoor [83, 84] and outdoor activities [43, 85].

5.2. Implementation

Importantly, extraction of multi-model gait characteristics starts with the detection of gait cycles, IC and FC events. AI and A2 were sufficient to estimate IC-FC moments during level walking (as well as incline walking and stair ambulation) for HP's and non-paretic sides of SS. However, failing to detect IC-FC events in the paretic side of SS, where significant foot clearance is lacking, negatively impacts the multi-model gait characteristics (primarily temporal) to be extracted. Alternatively, spatial characteristics successfully computed with A3 for HP and non-paretic sides of SS, but similar problems occurred for the paretic sides of SS (section 5.3).

Sensor misplacement is also a consideration that needs to be considered during the implementation of this framework. It was previously reported that algorithms that use angular velocity for IC-FC detection (such as AI and A2) are less sensitive to positioning compared to acceleration due to their measurement principle. A3 and A5 also stated that the sensor placement anywhere along the same plane on the anatomical segment (e.g., shank) gives almost identical signal output [11, 23, 40]. The proposed tool has potential use in free-living as it enables an extended period of data recording opportunities. Gyroscopes tend to consume up to several hundred milliamperes whereas accelerometers consume in the range of a few microamperes [11]. The use of additional hardware or sensing capabilities such as EMG can increase energy consumption significantly. Therefore, the energy consumption of the hardware (sensor) to be used should be taken into consideration. Here we use the Shimmer3 EMG sensor, which can be used in clinical studies as it provides reliable output for around 70 hours, depending on the activated sensing capabilities (e.g., sampling frequencies). Sensors that can collect data for a week or more are also available but there is a trade-off between e.g., data resolution, battery life and memory [3].

A review for sensor fusion use in orientation tracking found that advanced algorithms such as extended Kalman filter and complementary filter approaches should meet the need to perform offline calibration, vector selection technique for imperfect measurement rejection [86]. Although high accuracy and robust estimations were reported, these approaches are complex and require prior technical information regarding the IMUs to be used. Here, we proposed a less complex and more practical novel approach (A5) to estimate knee flexion angles during stair ambulation and incline walking by novel combination of two different validated algorithms [40, 42]. That approach allowed us to achieve a knee joint flexion angle approach that works during stair ambulation and without a need for prior configuration coefficients during orientation estimation.

EMG signals were segmented for each gait cycle using IC-FC timed events. Segmented raw EMG signals are difficult to interpret with a visual inspection alone [67]. Thus, processing raw EMG signals allow the extraction of clinically useful outcomes (e.g., muscle burst timing). Additionally, normalisation of EMG signals is crucial to make comparisons between muscles on different days or in different individuals during different walking tasks. Most studies time normalise EMG signal into gait cycles (%) or sub phases (stance %). However, the same standardisation is not common for amplitude normalisation. Peak activation level mean activation level, maximum voluntary contraction and peak to peak maximum amplitude (M wave) normalisation approaches have been widely used [67]. Although there are standards for EMG data collection (SENIAM), EMG signal processing standards are needed to achieve a more consistent EMG-based gait assessment [3].

5.3. Limitations and future work

Wearables offer high resolution data recording opportunities for extended periods. Continuous recording during free-living may result in a vast amount of unlabelled data that includes different daily dynamic gait activities (e.g. level walking, stair ambulation) and static activities (e.g. sitting, lying). Here, the proposed framework was used with manually segmented gait data (e.g., indoor level walking). However, manual segmentation of different activities before feeding into the proposed framework is a limitation to achieve a more automatic gait assessment tool. Therefore, automatic recognition of all activities (also known as human activity recognition, HAR) would provide a more pragmatic gait analysis tool, negating the time-consuming manual segmentation adopted here. Previous studies report that wearables can be deployed to recognise gait events with high accuracies using artificial intelligence approaches (e.g. machine learning, deep learning) [87, 88].

The time spent on sensor configuration and placement before data collection can be accepted as a limitation since it was approx. 50% of the total testing time for each participant. Here, the configuration of wearables and placement took 15-20 min for each participant. Much of the time (\approx 10 min) was spent on the placement of surface EMG electrodes and their connections with sensor units using wires. Technology is becoming more user friendly with wireless EMG sensors which could significantly decrease the setup time of wearables.

Successful implementation of the proposed multi-modal approach is significantly dependent on the correct detection of IC-FC times that is used to split gait into sub-phases and extract joint angles and muscle

activities. As presented in (Figure 4, b), more oscillations were observed in paretic side angular velocity compared to the non-paretic side of a stroke survivor. These oscillations affect the accuracy of proposed algorithms (e.g. AI) as these algorithms estimate IC-FC times by taking reference to a single positive peak (mid-swing) [89]. In the paretic side of SS (#3), more oscillations were observed in peaks during mid-swing, and negative peaks were not present for the detection of IC-FC moments. Therefore, the proposed algorithms (AI and A2) failed to detect IC-FC moments, and consequently kinematic and muscle characteristics could not be extracted for the gait cycles.

Some algorithms presented here use a set of rules and thresholds. The use of threshold-based algorithms could be a limitation since time and frequency domain features of the wearable signals can be significantly affected by several factors such as weight, age, severity of impaired gait and walking speed. Alternatively, previous studies suggested that although amplitudes of these peaks vary depending on different factors, IC-FC moments can always be localised once approximate locations are known in time and frequency domains [3, 18]. Therefore, appropriate signal processing approaches (e.g. advanced wavelet) and artificial intelligent (machine learning, deep learning) approaches should be used in future studies to overcome this limitation [90]. Equally, developing new algorithms by considering signal power and statistical features rather than wave shape could be a solution for the algorithms that rely on peak detection.

5.3.1. Factors influencing accuracy of gait characteristics

Small errors and systematic delays (e.g. <0.009s) are present even in two different gold/reference standard system [55]. Therefore, it is crucial to investigate and interpret the agreement levels between reference systems and wearable sensors with caution. Although most inertial signal-based validation studies reported very good agreements when compared to a gold standard system [18, 39], the developed algorithms were validated on healthy participants only during controlled environments. When these algorithms were adopted to use in a neurological population, it was observed that their accuracies decrease [23, 54]. The primary reason for the poor performances of the algorithms is because movement patterns of hip and lower-limb segments experience different acceleration and angular velocity compared to healthy participants[54]. The secondary reason is the effects of the walking environments. This was further investigated by Storm *et al.* and reported that shank sensor-based algorithms such as *A1-A2* perform better in outdoor walking in terms of detecting some temporal parameters (e.g., stance time) compared to indoor walking [55]. The other reasons that affect the accuracy of inertial signal-based gait outcomes are preferred sensor locations (e.g., shank, lower back) and used target signal (e.g., acceleration, angular velocity) in the experimental protocol. A previous study investigated the impact of both factors on the extracted parameters, and findings showed that shank level sensor- angular velocity signals pair provide more accurate and repeatable results than lower back sensor- acceleration signal algorithms for healthy participants[38].

Our future work will aim to:

- (i) investigate validity in a larger stroke cohort with the latest technology wearable sensors (e.g., wireless EMG),
- (ii) integrate automated gait detection into a multi-modal fusion approach to achieve an automatic approach and,
- (iii) investigate potential solutions for better detecting IC-FC moments in neurological conditions, particularly in severely disrupted gait.

6. Conclusion

This paper proposes a multi-modal gait assessment, enabling a comprehensive indoor and outdoor gait analysis using wearables. A multi-layered sensor, data and gait characteristic fusion approach was developed by utilising previously validated algorithms and adopting a robust methodological approach. The proposed fusion approach has a potential for utility in a more holistic gait analysis approach for use on various indoor and outdoor terrains. Detection of IC and FC events is key to ensure the utility of the fusion approach is fully realised, failure to detect those gait events may lead to missed gait characteristic. However, that may only be evident in the most impaired gait. Study findings show initial effectiveness of the approach by displaying the difference between indoor and outdoor experiments in spatio-temporal, knee joint kinematics and muscle activities, which could be informative for devising individualised rehabilitation strategies. Future work will investigate deployment on larger, more clinically well-defined SS and towards automated gait detection and segmentation.

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