

1 **Abstract:**

2 Few research studies have investigated temporal kinematic swallow events in healthy adults to establish
3 normative reference values. Determining cutoffs for normal and disordered swallowing is vital for differentially
4 diagnosing presbyphagia, variants of normal swallowing, and dysphagia; and for ensuring that different swallowing
5 research laboratories produce consistent results in common measurements from different samples within the same
6 population. High resolution cervical auscultation (HRCA), a sensor-based dysphagia screening method, has
7 accurately annotated temporal kinematic swallow events in patients with dysphagia, but hasn't been used to annotate
8 temporal kinematic swallow events in healthy adults to establish dysphagia screening cutoffs. This study aimed to
9 determine: 1. Reference values for temporal kinematic swallow events, 2. Whether HRCA can annotate temporal
10 kinematic swallow events in healthy adults. We hypothesized 1. Our reference values would align with a prior study;
11 2. HRCA would detect temporal kinematic swallow events as accurately as human judges. Trained judges completed
12 temporal kinematic measurements on 659 swallows (N=70 adults). Swallow reaction time and LVC duration
13 weren't different ($p > 0.05$) from a previously published historical cohort (114 swallows, N=38 adults) while other
14 temporal kinematic measurements were different ($p < 0.05$), suggesting a need for further standardization to feasibly
15 pool data analyses across laboratories. HRCA signal features were used as input to machine learning algorithms and
16 annotated UES opening (69.96% accuracy), UES closure (64.52% accuracy), LVC (52.56% accuracy), and LV re-
17 opening (69.97% accuracy); providing preliminary evidence that HRCA can noninvasively and accurately annotate
18 temporal kinematic measurements in healthy adults to determine dysphagia screening cutoffs.

19
20 Key words: dysphagia, presbyphagia, videofluoroscopy, machine learning, cervical auscultation, swallow screening,
21 deglutition, deglutition disorders

22

1 **Introduction**

2 Establishing normative reference values for swallowing physiology across the lifespan is vital for
3 understanding normal variation in swallowing, differentially diagnosing variants of normal swallowing such as
4 presbyphagia vs. dysphagia, and characterizing swallowing impairments based on the underlying disease process
5 that results in dysphagia [1,2]. Reference values established on large sets of comparable data provide more robust
6 assessments of performance in the population of interest against which patient data can be compared to estimate
7 impairment severity. The variability of multiple exemplars of durational swallowing measures within subjects has
8 been explored in middle-aged and older healthy adults [3] revealing nonsignificant but measurable differences in
9 durational swallowing measures from swallow to swallow, highlighting the importance of obtaining multiple trials
10 for each swallow condition during videofluoroscopic swallow studies (VFSSs) to gain a more holistic understanding
11 of swallow function [3]. Changes in durational swallowing measures due to aging (i.e., presbyphagia) have been
12 examined and revealed that older healthy adults have longer stage-transition duration (also referred to in the
13 literature as “pharyngeal delay time” and “swallow reaction time”), pharyngeal transit duration, duration of upper
14 esophageal sphincter (UES) opening, duration of laryngeal vestibule closure (LVC), and total swallowing duration
15 compared to younger adults, all of which are recognized as typical for that population [4–6].

16 Current normative reference values have been established for temporal kinematic swallow measurements
17 by having trained researchers/clinicians rate gold standard VFSSs using frame-by-frame analyses, or by using
18 clinical ratings tools (e.g. Modified Barium Swallow Impairment Profile [MBSImp], Penetration-Aspiration Scale
19 [PAS])[3,4,7–12]. While imaging methods are necessary to verify that specific impairments in temporal and spatial
20 swallow kinematics are contributing to dysphagia, noninvasive dysphagia screening and assessment methods that
21 provide some level of insight into a patient’s swallowing physiology may be useful when VFSSs are delayed, are
22 not available/feasible within certain clinical settings, and/or are undesired by the patient. VFSSs are not always
23 feasible or readily available when they are considered necessary, leaving clinicians to resort to management based
24 solely on clinical assessments and their inherent limitations. Therefore, a noninvasive dysphagia screening and
25 diagnostic adjunct that offers information about swallowing physiology could assist clinicians in managing patients
26 who are awaiting VFSSs, patients who do not have access to VFSSs, and/or determining patients that should be
27 referred for an instrumental swallow evaluation. Likewise, VFSSs are somewhat invasive requiring patient exposure
28 to radiation which constrains the duration of observation of swallow function. In addition to this, few clinicians are

1 trained in accurately performing temporal swallow kinematic measurements or have access to imaging software to
2 perform these measurements, leading to more subjectivity in judgments of temporal measures and in some cases,
3 over- or under-identification of patients most in need of dysphagia services to mitigate adverse events. In fact, based
4 on a survey from speech-language pathologists (SLPs), one-third of SLP respondents conducting VFSSs reported
5 performing frame-by-frame analysis of VFSSs “never” with another one-third indicating they used this method less
6 than half of the time [13]. Likewise, clinical rating tools such as the MBSImP have shortcomings including time-
7 consuming online training (20-25 hours per the website) and an element of subjective judgment that is prone to drift
8 in rater’s internal decision-making rules [8]. Although efforts are being made to establish cutoffs and severity
9 classes using the MBSImP [14], a challenge of this rating scale is its categorical nature which introduces a degree of
10 judgment subjectivity, and its limited ability to capture subtle changes or impairments due to broad rating categories
11 (e.g. no movement, partial movement, or complete movement for anterior hyoid excursion).

12 Therefore, there is a need for a noninvasive, portable and feasible adjunct or needs-based surrogate to
13 VFSSs that can also provide insight into physiological aspects of swallowing independent of a trained human rater.
14 High resolution cervical auscultation (HRCA) is a noninvasive dysphagia screening method that has been under
15 investigation for several years that has demonstrated promise as a diagnostic adjunct to VFSSs. HRCA combines
16 acoustic and vibratory signals from a contact microphone and a tri-axial accelerometer with advanced signal
17 processing and machine learning techniques to measure swallow function. Although HRCA does require the use of
18 intricate machine learning methods, one distinct advantage of HRCA is that clinicians are not needed to perform or
19 interpret the complex signal feature analysis and machine learning algorithms. In fact, the visual representation of
20 the raw HRCA signals provides no valuable information for clinicians to interpret about swallowing. While the
21 signal waveforms reflect signal amplitudes and durations that are familiar to clinicians using other sensor-based
22 modalities such as sEMG and manometry, they also contain additional information beyond their appearances such as
23 the characteristics of the vibratory and acoustic energy generated during a swallow to that are used as inputs to the
24 machine learning process and cannot be displayed visually because they are mathematical/statistical features of the
25 raw signals without visual value. This line of research work represents the unique intersection of two disciplines
26 (e.g. speech-language pathology and computer/electrical engineering) to characterize swallow function. Since
27 HRCA is still being validated as a dysphagia screening and diagnostic adjunct to VFSSs, all swallow evaluations
28 involve concurrent collection of VFSS images and HRCA signals, so that all HRCA signal features interpretations

1 can be compared to the “ground truth” (e.g. expert human rater judgments of swallow function based on VFSS
2 images). To date, studies examining HRCA’s capabilities have found that HRCA can differentiate between safe and
3 unsafe swallows based on the PAS [15–21], accurately track hyoid bone movement [22,23], identify specific
4 temporal kinematic swallow events (e.g. UES opening, UES closure, LVC, LV re-opening) [24–26], classify
5 swallows between healthy adults and patients post-stroke or with neurodegenerative diseases[27,28], and classify
6 swallows based on several MBSImP component scores [23,26] with a high degree of accuracy in patients with
7 suspected dysphagia by using advanced signal processing and machine learning techniques. While previous studies
8 have tested established machine learning algorithms that were trained on patients with suspected dysphagia on a
9 small subset of healthy swallows (n=45-50) to assess generalization to an outside data set [23–26], no one has
10 specifically trained and tested on healthy data alone to establish dysphagia screening cutoffs.

11 Few studies have established normative reference values for temporal swallow kinematic events in healthy
12 adults across the lifespan or compared similar measurements in analogous samples of a population across research
13 laboratories to determine consistency of measurements for pooled analyses. In addition to this, while previous
14 research studies have examined HRCA’s ability to annotate specific temporal swallow kinematic events (e.g. LVC,
15 UES opening duration) [24–26] in patients with suspected dysphagia, we have not previously examined HRCA’s
16 ability to annotate temporal swallow kinematic events in healthy adults across the lifespan. Therefore, this research
17 study aimed to determine 1. Reference values for VFSS temporal swallow kinematic events based on human
18 judgments of VFSS images and compare these results to previously published reference values for the same
19 measurements; 2. Whether HRCA can accurately and autonomously annotate temporal swallow kinematic events in
20 healthy community dwelling adults across the lifespan with similar accuracy as VFSS analyses. We hypothesized
21 that our reference values for VFSS measurements of temporal swallow kinematic events would closely align with a
22 prior study and that HRCA signals combined with machine learning techniques would accurately and independently
23 identify the timing of UES opening, UES closure, LVC, and LV re-opening in healthy community dwelling adults
24 across the lifespan.

25 **Methods**

26 Participants, study procedures, and equipment:

27 This prospective observational study was approved by our institution’s Institutional Review Board. Seventy healthy
28 community dwelling adults (31 males, 39 females) enrolled in this study, provided written informed consent, and

1 generated 659 thin liquid swallows (700 swallows accrued, 41 excluded due to missing/corrupt data) that were
2 entered into the analyses. Participant ages ranged between 21-87 years old (mean age 62.66 ± 14.80) with an even
3 distribution across age ranges. Participants were eligible to participate based on the following inclusionary criteria
4 (per participant report): no history of swallowing difficulties, no history of a neurological disorder, no prior surgery
5 to the head or neck region, no chance of being pregnant (if female).

6 Data were prospectively collected using simultaneous accrual of VFSS data from a standard fluoroscopy system
7 (Precision 500D system, GE Healthcare, LLC, Waukesha, WI), and from both a tri-axial accelerometer (ADXL 327,
8 Analog Devices, Norwood, Massachusetts) that was powered by a 3V output (model 1504, BK
9 Precision, Yorba Linda, California), and a contact microphone. Signals from the accelerometer and the microphone
10 were bandpass-filtered, amplified (model P55, Grass Technologies, Warwick, Rhode Island), digitized via a data
11 acquisition device (National Instruments 6210 DAQ) through the Signal Express program in LabView (National
12 Instruments, Austin, Texas), and then down sampled from 20 kHz into 4 kHz to smooth the transient (high
13 frequency) noise components. All participants underwent standardized VFSSs to minimize radiation exposure
14 (average fluoro time 0.77 sec. to accrue 10 swallows). VFSSs were performed with concurrent HRCA and images
15 were obtained in the lateral plane. VFSSs were conducted at a pulse rate of 30 pulses per second (PPS). Video
16 signals and HRCA signals were captured at a higher sampling rate (73 frames per second) per Shannon's sampling
17 theorem [29] (AccuStream Express HD, Foresight Imaging, Chelmsford, MA) and then later down sampled to 30
18 FPS. The noninvasive HRCA sensors were placed on the anterior laryngeal framework and can be viewed in Figure
19 1[15,30]. VFSS procedures consisted of 10 thin liquid boluses of Varibar barium (Bracco Diagnostics, Inc., < 5 cPs
20 viscosity; International Dysphagia Diet Standardization Initiative level 0). Five boluses were 3mL by spoon and 5
21 boluses were self-selected comfortable cup sips in a randomized order. When presented thin liquid boluses by
22 spoon, participants were instructed to "Hold the liquid in your mouth and wait until I tell you to swallow it." When
23 presented thin liquid boluses by cup, participants were given a graduated cylinder containing 60mL and were
24 instructed to "Take a comfortable sip of liquid and swallow it whenever you're ready." VFSS recording durations
25 spanned from the onset of oral transit through bolus clearance through the UES and the return to rest of the
26 hyolaryngeal complex while HRCA continuously recorded signals during and between swallows to ensure that all
27 components of all swallow segments were accrued. Bolus characteristics for all swallows included in the data
28 analyses for this study can be viewed in Table 1. Average cup sip volume for comfortable cup sips was 16.05 mL

1 (± 9.21).

2 Historical cohort comparison data:

3 We used a subset of data from a recent publication examining temporal swallow kinematic events in healthy
4 community dwelling adults using thin to extremely thick liquids as comparison data [12]. Since the data set from our
5 lab included only thin liquid swallows, we included only the thin liquid swallows from this historical cohort (38
6 participants – 19 each females and males, 114 swallows). The age of participants in this study ranged from 21-58
7 years of age (mean 34). Participants swallowed three thin liquid boluses by comfortable cup sip from a cup
8 containing 40mL with an average sip volume of 12.13 mL (± 6.68). Cup weight was taken before and after sips and
9 was used to calculate sip volume in milliliters.

10 Temporal swallow kinematic analyses:

11 Trained raters underwent standardized training and subsequent inter and intra-rater reliability tests returning intra-
12 class coefficients (ICCs)[31] of at least 0.9 before conducting temporal swallow kinematic analyses. Temporal
13 swallow kinematic measurements for this study included recording the digital timer values for the following events:
14 bolus passes the mandible, onset of maximal hyoid excursion (labeled in other studies as “hyoid burst”), hyoid
15 return to rest, onset of UES opening, onset of UES closure, LVC onset, and LV re-opening onset. The definition for
16 all temporal swallow kinematic events coded can be viewed in Table 2. Two trained raters conducted temporal
17 swallow kinematic measurements on all swallows included for data analyses with ongoing testing of intra-rater
18 reliability within a three-frame tolerance (0.1 second). Intra-rater reliability was maintained throughout analyses of
19 this large data set by randomly selecting one swallow to re-code every ten swallows. A third trained rater performed
20 inter-rater reliability on 10% of swallows with ICCs of 0.992.

21 Data analyses:

22 A biostatistician (SP) fit a linear mixed model to determine statistical significance, and calculated effect sizes to
23 determine clinical significance using a variation of Cohen’s d to compare the average magnitude of the temporal
24 swallow kinematic measures to the historical cohort’s temporal swallow kinematic measures.

25 HRCA signal features analysis and machine learning algorithms:

26 While our lab always obtains both acoustic and vibratory signals from the contact microphone and tri-axial
27 accelerometer during data collection because they have been shown to contribute different and complementary
28 information, we do not always use both acoustic and vibratory signals for analyses [32]. For example, in the present

1 study we developed the machine learning algorithm for UES opening and UES closure using only the accelerometer
2 HRCA signal features, while for the LVC machine learning algorithm we used HRCA signal features from the
3 contact microphone and the accelerometer because they produced superior alignment with the human judgments.

4

5 To determine when UES opening and UES closure occurred during the swallow using HRCA, we built a
6 convolutional recurrent neural network (CRNN) with two convolutional layers, two max pooling layers, three
7 recurrent neural network layers, and 4 fully connected layers. The CRNN used the accelerometer signals as input. A
8 summary of the HRCA signal features extracted can be viewed in Table 3. The specific details of this network are
9 described in our previous publications [25,26]. The data set was randomly divided into 10 equal groups to evaluate
10 the CRNN using a 10-fold cross validation scheme. Therefore, the data was divided into 10 groups of ~66 swallows
11 each. Nine groups were used to train the CRNN (~593 swallows) and one group was used to test the CRNN (66
12 swallows). This process was repeated until each group of swallows was used for testing at least once. The accuracy,
13 sensitivity, and specificity of the CRNN was determined by calculating the difference between the CRNN's
14 predicted measurements and the "ground truth" (human measurements of UES opening and closing using VFSS
15 images) (See Figure 2).

16 To determine when LVC and LV re-opening occurred during the swallow, a CRNN model was built with two
17 convolutional neural network layers, two max pooling layers, two recurrent neural network layers, 3 fully connected
18 layers for decision making, using the HRCA signals as input. The specific details of this CRNN are described in our
19 previous publication [24]. Similar to the UES opening and closing CRNN, the LVC and LV re-opening CRNN used
20 10-fold cross-validation for training and testing the performance of the CRNN. The accuracy, sensitivity, and
21 specificity of the CRNN was determined by calculating the difference between the CRNN's predicted measurements
22 and the "ground truth" (human measurements of LVC and LV re-opening using VFSS images) (See Figure 3).

23 **Results**

24 Comparison to previously published historical healthy cohort:

25 Results revealed that measurements of swallow reaction time and LVC duration from our lab were not significantly
26 different ($p > 0.05$) from the previously published historical cohort. There were statistically significant differences
27 between measurements from our lab and the historical cohort for hyoid onset to UES opening, duration of UES
28 opening, and LVC reaction time ($p < 0.05$). Small effect sizes were found for hyoid onset to UES opening and LVC

1 duration ($d=0.290$ and 0.103 respectively), a moderate effect size ($d=0.495$) was found for swallow reaction time, a
2 moderate-large effect size for duration of UES opening ($d=0.702$), and a large effect size ($d=2.40$) for LVC reaction
3 time. A summary of the descriptive statistics for the temporal swallow kinematic measures for our lab and the
4 historical cohort and the complete results of the linear mixed model and effect size results can be viewed in Tables 4
5 and 5.

6 HRCA and machine learning algorithm results:

7 Across the entire healthy community dwelling adult data set, the CRNN for UES opening and closure performed
8 with 88.53% accuracy, 88.37% sensitivity, and 89.44% specificity. When comparing the performance of the CRNN
9 to human measurements of VFSS images, the CRNN identified UES opening within a 3-frame tolerance for 69.96%
10 of swallows and UES closure for 64.52% of swallows (See Figures 4 and 5). When examining the CRNN for LVC
11 and LV re-opening across the entire healthy community dwelling adult data set, the CRNN performed with 81.14%
12 accuracy, 76.83% sensitivity, and 85.45% specificity. Compared to human measurements of LVC and LV re-
13 opening based on VFSS images, the CRNN identified LVC within a 3-frame tolerance for 52.56% of swallows and
14 LV re-opening for 69.97% of swallows (See Figures 6 and 7).

15 **Discussion**

16 This research study found that some of our lab's temporal swallow kinematic reference values closely matched the
17 reference values of a historical cohort [12] and that our machine learning algorithms that used only HRCA signal
18 features as input could autonomously identify the onsets of UES opening, UES closure, LVC, and LV re-opening
19 with similar accuracy as human VFSS judgments of these temporal kinematic events in a group of healthy
20 community dwelling adults across the lifespan. The accuracy of HRCA analyses combined with machine learning
21 algorithms is made more attractive as a potential surrogate to VFSS due to its efficiency compared to traditional
22 judgment by human judges, particularly when VFSS or other imaging-based gold standard testing is unavailable. For
23 example, the CRNN for UES opening and closure can analyze 150 swallows in approximately 42 seconds compared
24 to a human judge that would take approximately 2 minutes per swallow for a total of 5 hours. While we found
25 differences in hyoid onset to UES opening, duration of UES opening, and LVC reaction time ($p<0.05$) between our
26 lab's data set and the historical cohort's data set, it is likely these differences may have occurred due to age
27 differences between the two groups or due to differences in methods (i.e. starting cup volume of 60mL vs. 40mL).
28 This is in line with previous studies that have found that older adults exhibit longer durations for temporal swallow

1 kinematic events and greater variability for swallowing [4–7]. Alternatively, these differences may exist due to
2 differences in coding temporal kinematic measurements between research labs. This highlights a need for increased
3 transparency between research labs in order to standardize measurements and terminology to allow for equivalent
4 comparisons across research studies for pooled data analyses in similar samples.

5 In addition to this, the high accuracy of the machine learning algorithms we deployed using HRCA signals alone as
6 input, add to a growing body of literature demonstrating HRCA’s promise as a dysphagia screening method and
7 diagnostic adjunct to VFSSs [15–28]. Despite non-significantly but better performance of the HRCA algorithms that
8 were trained and tested in previous studies of patients with dysphagia [24–26] compared to our current results
9 derived from the healthy community dwelling adult data set, both machine learning algorithms correctly identified
10 temporal kinematic events (e.g. UES opening, UES closure, LVC, LV re-opening) with remarkably high accuracy
11 given that they identified these events using HRCA signals alone and without any human supervision. In fact, we
12 anticipated better performance accuracy on the patient data set compared to the healthy community dweller data set,
13 because machine learning algorithms perform more robustly with large sets of variable data in which more
14 impairments are present throughout the data set. In addition to this, the CRNN for UES opening and closure had
15 better accuracy than the CRNN for LVC and LV re-opening. These findings are in line with previous results from
16 our lab that trained and tested these machine learning algorithms on patients with dysphagia [24–26,33]. There are
17 several potential reasons for this discrepancy in performance accuracy. Human ratings of LVC and LV re-opening
18 within our lab tend to have greater inter and intra-rater variability than ratings of UES opening and closure, which
19 may impact the accuracy of the CRNNs since machine learning algorithms are dependent on the training data
20 provided and are compared to the “ground truth” for accuracy (in this case, human ratings of VFSS images). On the
21 other hand, machine learning algorithms tend to perform more accurately with more chaos and increased variability
22 in the data. As such, it’s possible that there was greater variability in measurements of UES opening and closure
23 than in measurements of LVC and LV re-opening for this group of healthy adults, leading to improved performance
24 of the machine learning algorithm of UES opening and closure. Additionally, the durations of these two events (e.g.
25 duration of UES opening, LVC duration) are both quite short, which leaves little room for error when humans (or
26 machines) judge temporal kinematic swallow events. Further, LVC duration is briefer than the duration of UES
27 opening, introducing greater opportunity for error.

28 Despite some of these limitations, the results of this research study expand upon previous findings in our lab by

1 demonstrating that HRCA combined with signal processing and machine learning techniques can not only
2 accurately annotate specific temporal swallow kinematic events in healthy community dwelling adults and patients
3 with suspected dysphagia, but it can do so with greater efficiency than traditional analysis methods without
4 compromising accuracy. The current results from healthy participants adds to the ability of HRCA to classify typical
5 vs. atypical swallow physiology in people with dysphagia when deploying HRCA within clinical settings in the
6 future. While our research lab is eager to deploy HRCA as a dysphagia screening and diagnostic adjunct to VFSSs
7 within clinical settings, it is important to note that we are still in the process of miniaturizing our HRCA system and
8 finalizing all machine learning algorithms so that HRCA is an easily transportable evaluation tool that efficiently
9 provides results to clinicians via everyday devices such as tablets and smart phones. Additionally, while HRCA does
10 involve the collection of raw acoustic and vibratory signals from a contact microphone and a tri-axial accelerometer,
11 the visual inspection of these raw waveforms does not have any clinical utility. Our HRCA system does not depend
12 on the interpretation of swallowing sounds by human judges. In fact, we do not use the raw acoustic and vibratory
13 signals for interpreting swallowing events at all. As described in the methods section of our paper, we filter and
14 amplify aspects of the raw HRCA signals before extracting statistical features from the signals that are used for
15 analyses (see Table 3). After feature analyses is performed, we use the HRCA signal features as input to machine
16 learning algorithms to detect swallowing events. Therefore, in the future when HRCA is deployed within clinical
17 settings, clinicians will not be responsible for visual inspection and interpretation of HRCA signals like they are
18 when they perform VFSSs. Instead, clinicians will place the sensors on patients and receive the HRCA results of the
19 autonomous machine learning algorithms on their smart phone or tablet.

20 Future work should expand upon the findings from this research study by establishing normative reference values
21 for temporal swallow kinematic events in healthy community dwelling adults using additional bolus viscosities (e.g.
22 thick liquids, puree, regular texture solids). In addition to this, future studies may aim to determine normative
23 reference values for spatial swallow kinematic events in healthy community dwelling adults (e.g. hyoid bone and
24 laryngeal displacement, UES diameter). Future studies should include a larger sample of swallows accrued from
25 multiple sites using identical methods to assist in enhancing the performance of the machine learning algorithms and
26 should investigate the efficacy of utilizing HRCA contemporaneously in clinical settings that require immediate
27 dysphagia screening and diagnostic output.

28 **Limitations**

1 We prospectively collected and compared the temporal swallow kinematic measures from our lab to a historical
2 cohort of data, which is an imperfect comparison since using historical data can introduce bias or confounding
3 variables. While we attempted to control for confounding variables that could result in differences between our data
4 set and the historical cohort data set (e.g. bolus viscosity), the methods of these two studies were not exactly the
5 same (i.e. starting cup volume of 60mL vs. 40mL for comfortable cup sips, command swallows and comfortable cup
6 sips in our data set). In addition to this, the healthy community dwelling adults enrolled in our study were older on
7 average (62.66 ± 14.80) than the historical cohort (34). These methodological and individual participant differences
8 may have contributed to the differences and large effect sizes we observed in some temporal kinematic swallow
9 measurements since healthy older adults have been shown to have longer durations and greater variability in
10 swallowing than healthy younger adults [4–7]. In addition to this, we conducted standardized VFSSs with only thin
11 liquid boluses to minimize radiation exposure for healthy community dwelling adults. This limits the
12 generalizability of our findings to other bolus conditions and clinical settings since our normative reference values
13 were established using a set protocol of only thin liquid swallows. Likewise, the machine learning algorithms for
14 UES opening, UES closure, LVC, and LV re-opening were established on a data set of only thin liquid swallows.
15 While we included a relatively large data set of swallows for this preliminary research study, it will be important to
16 replicate this work with various bolus viscosities to establish normative reference values and to ascertain that the
17 machine learning algorithms remain consistent across bolus conditions. Furthermore, while the CRNNs we
18 developed identified temporal swallow kinematic events with an overall high degree of accuracy, machine learning
19 performance improves with greater variability/chaos and more data. Therefore, it is vital to continue to improve the
20 algorithms we have developed by adding swallows to our database from healthy community dwelling adults and
21 patients across the lifespan. This will assist us as we explore the ability to deploy these machine learning algorithms
22 in clinical settings in real-time to differentiate between patients with normal or disordered swallowing.

23 **Conclusion**

24 This study found that some of the temporal swallow kinematic reference values from our lab closely matched the
25 reference values from a historical cohort. It also expanded upon previous research studies in our lab by providing
26 preliminary evidence that HRCA signals combined with advanced machine learning techniques can accurately
27 identify specific temporal swallow kinematic events (e.g. UES opening, UES closure, LVC, LV re-opening) in
28 healthy community dwelling adults across the lifespan. Developing CRNNs that can accurately differentiate

1 between swallows from healthy community dwelling adults vs. swallows from patients with dysphagia by using
2 cutoffs for specific temporal swallow kinematic events will be a useful enhancement to current dysphagia screening
3 methods within clinical settings. Future studies should replicate and expand upon this work to generate a large
4 database of healthy swallows across the lifespan to assist in differentially diagnosing presbyphagia and dysphagia.
5 In addition to this, future studies should aim to improve the accuracy of the machine learning algorithms for
6 detecting temporal swallow kinematic events and should investigate the ability to provide dysphagia screening
7 results in real-time at the bedside within a variety of clinical settings.

1 **Compliance with Ethical Standards:**

2 Funding: Research reported in this publication was supported by the Eunice Kennedy Shriver National Institute of
3 Child Health & Human Development of the National Institutes of Health under Award Number R01HD092239,
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6

7 Conflict of interest: We have no conflicts of interest to declare.

8

9 Ethical Approval: All procedures performed in studies involving human participants were in accordance with the
10 ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and
11 its later amendments or comparable ethical standards.

12

13 Informed Consent: Informed consent was obtained from all individual participants included in the study.

14

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