Predicting Falls or Fall-Related Injuries within 3 Months of Emergency Department Discharge 1 2 3 among Community-Dwelling Older Adults: A Comparison of 4 Screening Tools 4 5 6 7 Possible Title: Machine Learning-Aided Detection of Falls within 3 Months of Emergency Department Discharge Using Smartphone Accelerometer Data Among Community-Dwelling Older Adults 8 Pritika Dasgupta, PhD<sup>1</sup> 9 Adam Frisch MD, MS<sup>2</sup> 10 Ervin Sejdic, PhD<sup>3</sup> 11 Brian Suffoletto MD, MS<sup>4</sup> 12 13 14 <sup>1</sup>Epidemiology Data Center, Graduate School of Public Health Department of Biomedical 15 Informatics, School of Medicine, University of Pittsburgh <sup>2</sup>Department of Emergency Medicine, School of Medicine, University of Pittsburgh 16 17 <sup>3</sup>Department of Engineering, University of Toronto 18 <sup>4</sup>Department of Emergency Medicine, School of Medicine, Stanford University 19 20 21 22 Funding: Funding for this project was provided by the Shadyside Research Foundation-and the 23 University of Pittsburgh Claude D. Pepper Center Pilot Grant., National Library of Medicine 24 under the training grant 4T15LM007059-30, University of Pittsburgh Claude D. Pepper Center 25 Pilot Grant, and by the Pittsburgh Older Americans Independence Center (NIA P30 AG024827). 26 27 Contributions: 28 PD- Analysis and interpretation of data, drafting of manuscript, critical revisions of the 29 manuscript 30 AF- Study design and concept, analysis and interpretation of data, drafting of manuscript, critical 31 revisions of the manuscript, acquisition of funding. 32 ES- Analysis and interpretation of data, drafting of manuscript, critical revisions of the 33 manuscript 34 BS- Study design and concept, acquisition of data, analysis and interpretation of data, drafting 35 of manuscript, critical revisions of the manuscript, acquisition of funding. 36 37 38 Authors report no conflict of interest. 39 40 Funding: National Library of Medicine under the training grant 4T15LM007059-30, University of Pittsburgh Claude D. Pepper Center Pilot Grant, and by the Pittsburgh Older Americans 41 42 Independence Center (NIA P30 AG024827). 43

**Commented [MOU1]:** I'm not sure about this title – but let's see what the reviewers say. There's no mention of accelerometer data, and it makes it sound like the neural network was a screening tool. BACKGROUND: Falls are the leading cause of injuries in older adults. Identifying older adults with risk for falls prior to discharge home from the Emergency Department (ED) could help direct fall prevention interventions, yet ED-based tools to assist risk stratification are underdeveloped. The aim of this study was to compare the performance of 4 different screening tools to predict future falls in the 90-days post ED discharge for older adults.

50 METHODS: A prospective cohort of community-dwelling adults age 60 years and older were 51 recruited from two urban EDs prior to discharge (N=134). Participants completed the following in 52 the ED: a single item screen for mobility (SIS-M), the 12-item Stay Independent Questionnaire 53 (SIQ-12), and the Timed Up and Go test (TUG) while wearing a smartphone affixed to the lower 54 back collecting 3-axis accelerometer data at 100 Hz. Falls after ED discharge were defined 55 through self-report of any fall at 1- and 3-months and medical record review of ED or hospital 56 encounter for fall-related injury 3-months post-discharge. We developed a hybrid-convolutional 57 recurrent neural network (HCRNN) model of kinematic gait and balance characteristics using 58 truncal 3-axis accelerometry collected during the TUG. We compared performance of M-SIS, 59 FRQ, TUG time, and HCRNN by calculating the area under the receiver operating characteristic 60 curve (AUC). Internal validation was conducted using bootstrap resampling with 1000 iterations for SIS-M, FRQ, and GUG and leave-one-out for the HCRNN. 61 62

RESULTS: 14 (10.4%) of participants met our primary outcome of a fall or fall-related injury
within 90 days. The SIS-M had a AUC of 0.42 [95% confidence inteveal (CI) 0.19-0.65]. The
SIQ-12 score had an AUC of 0.64 [95% confidence inteveal (CI) 0.49-0.80]. The TUG had an
AUC of 0.48 (95% CI 0.29-0.68). The HCRNN model using generated accelerometer features
collected dueing the TUG had an AUC of 0.99 (95% CI 0.98-1.00).

69 CONCLUSION: We found that standard screening tools lack sufficient accuracy to be used in 70 isolation in the ED. A neural network model using generated accelerometer features collected 71 during the TUG in the ED could be a promising modality but research is needed to externally 72 validate these findings.

## 75 INTRODUCTION

76 Falls are common in older adults<sup>1</sup>, result in significant morbidity and mortality<sup>2</sup>, and costs the US 77 health care system 50 billion dollars each year<sup>3</sup>. Targeted interventions can reduce rates of falls 78 among older adults. For example, a recent review found that interventions can result in 25-30% 79 reductions in falls for community-dwelling older adults one-year post-program.<sup>4</sup> Timely 80 identification of older adults at-risk for falls is to connect them with effective fall prevention 81 interventions is necessary but challenging. 82 To improve the identification of older adults at higher risk for falls, the Centers for 83 Disease Control and Prevention (CDC) and the American Geriatric Society recommend yearly fall assessment screening for all adults 65 years of age and older.<sup>5,6</sup> Still, many older 84 85 adults may not have this routine screening in primary care.<sup>7,8</sup> Identifying older adults in 86 alternative care settings like the emergency department (ED) may help fill this gap in fall 87 prevention. 88 The ED is a common site for older adults to seek care. Using nationally represenatative 89 US data from 2014–2017, 43% of persons aged 60 and over had an ED visit in a given year 90 which increased with age.<sup>9</sup> The American College of Emergency Physician (ACEP) guidelines recommends routine identification of older adults at risk for falls<sup>10</sup>. Numerous tools for 91 92 assessing fall risk among community-dwelling older adults have been tested in other settings, 93 with area under the curves (AUCs) ranging from 0.49 to 0.87 in development models.<sup>11</sup> Yet, as 94 noted by Carpenter et al.<sup>12</sup>, existing ED-based screening tools are under-developed. 95 The aim of this study was to compare the discriminatory performance of 4 different 96 screening tools to predict future falls or fall-related injuries in the 90-days after ED discharge for 97 community-dwelling older adults. We chose to study screening tools of increasing complexity to 98 identify the most parsimonious model and allow comparisons across tools using self-reported 99 risks versus functional task performance. Rapid and easy to perform tools are especially 100 important in the ED, where surveys show that while most ED providers support screening older

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101	adults for fall risk, around half are unwilling to spend more than 2 minutes on screening. <sup>13</sup> We
102	chose to assess kinematic characteristics of gait and balance during a functional task as a fall
103	predictor given prior work in other settings showing that machine learning algorithms of
104	accelerometer-based kinematic data can predict falls in older adults <sup>14,15</sup> . Findings from this
105	study are critical to building a scientific evidence base for tools to identify older adults being
106	discharged from the ED who could benefit from interventions to reduce falls and related injuries.
107	

## 108 METHODS

- 109 We recruited a convenience sample of participants from May 9, 2019 and October 28, 2019.
- 110 Recruitment occurred primarily during weekdays (approximately 11AM-5PM) based on
- 111 research associate (RA) availability. We conducted phone call follow-ups at 1- and 3-months
- 112 post-enrollment and medical record review to assess fall-related care at 3-month follow-up. The
- 113 reporting of the study followed the Transparent Reporting of a multivariable prediction model for
- 114 Individual Prognosis Or Diagnosis (TRIPOD) statement.<sup>16</sup> The TRIPOD checklist is available in
- 115 Additional file in the Appendix. Institutional Review Board approval for this study was granted by
- 116 the University of Pittsburgh.
- 117

#### 118 Source of Data

- 119 This study was conducted among patients who presented for care to two EDs within a single
- 120 hospital system in Pittsburgh, PA.
- 121

## 122 Participants

- 123 An RA identified potential participants using the electronic ED tracking board based on age (i.e.
- 124 60+) and asked a member of the treating clinical ED team to refer only patients who are
- 125 medically stable, community-dwelling, able to provide informed consent, who ambulate without

- 126 ambulation aid, and who are being discharged to home. We focus solely on discharged ED 127 patients as they do not have access to traditionaly inpatient screening and geriatric 128 assessments. A member of the treating clinical team asked potential participants about their 129 interest in participating in research. If the patient agreed, an RA confirmed eligibility criteria, 130 including the ability to ambulate unassisted, and if met, completed informed consent. 131 Procedures 132 After consent was obtained, the participant was asked to complete a brief questionnaire and 133 complete the Timed Up and Go test (TUG) while wearing a smartphone affixed to the lower 134 back (i.e. midline L4) collecting 3-axis accelerometer data from 3-axes (i.e. mediolateral (ML), 135 vertical (V), and anterior-posterior (AP) directions) at 100 Hz using the phyphox app 136 (www.phyphox.org). We chose the TUG and lower back as the ideal location for sensor data 137 capture based on best-practice recommendations.17
- 138

#### 139 Outcome

140 The primary outcome for prediction was any fall or fall-related care encounter within 3 months, 141 defined by either self-report of a fall at 1- or 3-months or medical record documentation of a fall-142 related ED or hospitalization care visit within 3-months post-enrollment. We chose to include 143 both self-reported falls and fall-related injuries as we believe that both are clinically relevant for 144 prevention efforts. We chose 3-months as our primary outcome assessment period as it is a 145 time period where risks identified in the ED could be mechanistically relevant to a fall. The 146 outcome assessor was blinded to predictors. Phone follow-ups: Consistent with international 147 consensus recommendations, we defined self-reported falls as "an unexpected event in which 148 the participants come to rest on the ground floor or lower level".<sup>18</sup> Medical record review: We 149 first identified all ED and hospitalization encounters that occurred between the day after 150 enrollment and 90 days post-enrollment. We then inspected ED and hospitalization records to 151 identify fall-related care, defined as encounters where an individual has an ICD-10 code (W00W19) or the term "fall" in the nursing or physican history with related injury, based on ICD-10
code of injury (S00-S99).<sup>19</sup>

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#### 155 Predictors

156 We examined 3 screening tools previously developed in other settings (i.e. Single Item Screen 157 for Mobility (SIS-M); the Stay Independent Questionnaire (SIQ); Timed Up and Go (TUG)) and 158 one tool we developed using a hybrid-convolutional recurrent neural network (HCRNN) model of 159 truncal 3-axis accelerometry during the TUG. We chose these self-reported screening tools as 160 they incorporated features found to be useful in other settings to predict falls in older adults.<sup>20</sup> 161 The self-reported screening tools (i.e. SIS-M and FRQ) were completed in interview format in 162 the ED and the instrumented TUG was performed prior to ED discharge. The research 163 associate who assisted with collecting these predictors was blind to outcomes. 164 The SIS-M was taken from the EQ-5D-5L screening tool<sup>21</sup>, one of the most common 165 tools to measure health-related quality of life among older adults<sup>22</sup> and previously found to be 166 sensitive to discriminate falls among community-dwelling older adults<sup>23</sup>. Participants were 167 asked: "How would you describe your mobility TODAY", with response options including: "no 168 problems", "slight problems", "moderate problems", "severe problems, and "I am unable to 169 walk". For the purposes of this study, we use any response other than "no problem" as 170 positive. 171 The SIQ was developed by the CDC as part of an initiative to encourage and facilitate falls screening and management in primary care.<sup>24</sup> The algorithm begins with a 12-question 172 173 patient self-assessment: https://www.cdc.gov/steadi/pdf/STEADI-Brochure-StayIndependent-174 508.pdf ). According to published guidelines, a score of 4 or more or an affirmative response to

175 any of three key questions (falling in the last year, being worried about falling, or feeling

176 unsteady)<sup>25</sup> indicates fall risk. For the purpose of this study, we examined both the total score

177 on 12-question survey and 3-question screen (yes/no) as predictors.

178	The TUG was developed 20 years ago to evaluate mobility in older adults <sup>26</sup> and consists
179	of the time it takes for the patient to rise from an armed chair, walk 3 metres at their usual speed
180	and with their usual gait aid, turn and return to the seated position in the chair. We chose the
181	TUG as our functional test as it captures a wide range of kinematic movements yet can be
182	completed in the ED setting. In a recent systematic review, a TUG time of >13.5 sec was found
183	to have a pooled sensitivity of 0.31 (95% CI 0.13-0.57) and specificity of 0.74 (95% CI 0.52-
184	0.88) to predict falls in community-dwelling older adults. <sup>27</sup> In the ED, the TUG was been found to
185	be strongly associated with frailty but not necessarily falls after ED discharge among
186	community-dwellling older adults after minor trauma. <sup>28</sup> For the purposes of this study, to allow
187	for comparison with other ordinal scores, we batched TUG times into 4 second intervals.
188	For the HCRNN model of kinematic characteristics of gait and balance during the TUG,
189	we first parsed the accelerometer data into 5 segments: (1) Stand up from the sitting position.
190	(2) Walk 3 metres forward. (3) Turn around 180 degrees. (4) Walk 3 metres back to their original
191	location. (5) Sit down on the chair. Signals were filtered through a fifth-order, low pass
192	Butterworth filter with a 12.5 Hz cut-off frequency. Walking segments: For segments 2 & 4, we
193	segmented the coded walking segments into windows of 1-second, each with a 50% overlap
194	between two consecutive windows <sup>29</sup> and calculated features (e.g. mean, maximum, standard
195	deviation, the maximum difference, pair-wise correlation, pair-wise covariance of the
196	acceleration signals and harmonic ratio). <sup>30</sup> <u>Sit/Stand segments</u> : For segments 1 and 5, we
197	computed the the maximum slope of the antero-posterior triangle, 2) the minimum slope of the
198	AP triangle, and 3) the acceleration peak of the antero-posterior triangle. <sup>31</sup> <u>Turn segment</u> : For
199	segment 3, we computed the step frequency by calculating the frequency peak from the power
200	spectral density of the medio-lateral signal. For the purposes of this study, we examined a
201	model using only the raw 3-axis accelerometer signals and another model using the 24
202	generated features found to have a p-value<0.05 on the Wald test.

## 204 Sample size

- 205 The study size was based on our goal of having at least 10 individuals with a fall outcome over
- 206 3-months follow-up. We based this on the "rule of thumb" of having at least 10 outcomes per
- 207 predictor in a logistic regression model.<sup>32</sup> We estimated that 11% of older ED patients
- 208 discharged to home would meet this endpoint at 3-months based on prior national estimates<sup>33</sup>,
- 209 thus resulting in a goal to have at least 90 participants with complete outcome data.
- 210

#### 211 Missing data

- 212 We did not have any missing predictor data. For outcomes, 91/134 (67.9%) of participants
- 213 provided self-reported fall outcome data at 1-month and 75/134 (56%) at 3-months. We were
- 214 able to access medical records to assess any fall-related visits on all patients, therefore based
- 215 on our composite fall outcome definition, had no need to impute data.
- 216

#### 217 Statistical Analyses

- 218 We first examined the univariate association between baseline sociodemographics and each 219 question within the SIQ-12 and the primary outcome of fall at 3-months, presented as odds 220 ratios (ORs) with 95% confidence intervals (CIs). We examined the univariate association 221 between TUG acceleration sigmal amplitude features and falls using Student t-tests. We then 222 used Receiver Operator Curves (ROC) to identify the optimal cutoff accuracy for the SIS-M, 223 SIQ-12, and TUG, presenting sensitivity (Sens), specificity (Spec), positive likelihood ratios 224 (LR+) and negative likelihood ratios (LR-) at key cutpoints. Internal validation was conducted 225 using bootstrap (BS) resampling with 1000 iterations for SIS-M, FRQ, and TUG, and we present 226 area under the curve (AUC) for both original ROC and BS-ROC curves. These analyses were 227 completed using Stata 15.0. 228 Our HCRNN used several convolutional layers with filters from 64 to 512 (increasing by
- 229 a factor of 2), separated by batch normalization layers and max-pooling layers, and then a

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230 bidirectional Long Short Term Memory layer with 128 units, dropout layer, and dense layer<sup>29</sup>. 231 We used a leave-one-out model for testing, meaning that for each participant in the study we 232 trained on all the other participants. During the classifier's learning or training process, we ran 233 10-fold cross-validation on this training group to find the model's best parameters. Then, we 234 tested the model on the participant that was left out of the training. We calculated and reported 235 the Sens, Spec, and ROC AUC for both the raw 3-axis accelerometer signals and another 236 model using the 24 generated features These analyses were completed using tensorflow and 237 keras in R.

238

#### 239 **RESULTS**

## 240 Participants

During the study period, 220 community-dwelling older adults (60+ years) were identified by age using the ED tracking board, and 169 (76.9%) were assessed for eligibility from which we included 134 in the study. **Figure 1** displays the flow of participants. Participant ages ranged from 60 to 94. The majority of participants were male (60%) and Black (68%). Almost half of participants (46%) were single and 40% lived alone.

### 247 Outcome

A total of 14/134 (10.4%) of participants met our primary outcome of a fall or all-related injury

249 within 90 days. Only 2/14 (14.3%) of these participants both reported a fall and had a medical

- 250 record consistent with fall-related injury. Phone follow-ups: A total of 106/136 (79.1%) of
- 251 enrolled participants provided phone follow-up data at either 1- or 3-months. At 1-month, 5/136
- 252 (3.7%) reported a single fall over the past 3-months since enrollment. At 3-months, 6/136 (4.4%)
- 253 reported a fall since last assessment (at 1-month), only 2 of whom had reported a fall at 1-
- 254 month follow-up. No baseline characteristics or fall risks were found to be significantly
- 255 associated with phone-follow-up. Medical record review: Over the 3-months of medical record

review, 42/134 (31.3%) of participants had at least one ED re-visit with 9/134 (6.7%) having
more than one ED re-visit. A total of 6/42 (14.3%) of these ED re-visits were for fall-related
injuries. Over the same period, 20/134 (14.9%) of participants were hospitalized with 3/134
(2.2%) having more than one hospitalization. A total of 3/20 (15.0%) of these hospitalizations
were for fall-related injuries.

261

### 262 Predictors

Table 1 provides the baseline characteristics of participants who fell and those who did not. No single characteristic was associated with falls at 3-months. Table 2 displays the percentage of participants who fell versus did not fall who reported individual risks on the SIQ-12 as well as the univariate associations between risk and falls. No individual SIQ-12 risk factor was associated with falling. Table 3 shows the mean differences in each acclerometer feature between participants who had a fall and those who did not. No summary measure of accerometer data was associated with falling.

270

#### 271 Models

- 272 Table 4 shows operating characteristics of key cutpoints for the SIS-M, the SIQ-12 and the 273 TUG. For the SIS-M, reporting a "moderate problem with mobility" or worse had a positive 274 likelihood ratio [LR+] = 2.86 and a negativelikelihood ratio [LR-] = 0.73. For the SIQ-12, a score 275 of 4 or greater had LR+=1.61 and LR-=0.60. For the TUG, a time to completion of greater than 276 or equal to 26 seconds had a LR+=1.77 and LR-=0.75. Table 5 displays the performances (i.e. 277 ROC AUCs) of the SIS-M, SIQ-12, TUG and HCRNN models for predicting falls at 3-months. 278 The SIS-M had the worst performance (i.e. BS AUC=0.42; 95% CI 0.19, 0.65) and the HCRNN 279 using generated features had the best (AUC=0.99; 95% CI 0.98, 1.00). 280
- 281 DISCUSSION

#### 282 Main findings

283 This study aimed to compare the performance of 4 different fall prediction screening tools for 284 community-dwelling older adults discharged from the ED. We found that none of the traditional 285 fall risk tools validated in other settings had cutoff values that would be good for identifying a 286 subset of older adult ED patients at low risk for future falls. We found that a single question 287 mobility screening question has poor overall model accuracy to distinguish fallers from non-288 fallers but a self-report of moderate or greater problems with mobility was associated with 289 greater likelihood of falls. We found that the CDC's 12-question fall risk screen had better model 290 accuracy and a score of 4 or greater would identify most older adults who go on to fall after 291 discharge correctly, but no single question was significantly associated with future falls. The 292 overall TUG time did not show good discrimination but a time to completion of more than 26 293 seconds increased the likelihood of falls after ED discharge. We found, however, that a neural 294 network model using generated features of 3-axis accelerometer data collected during the TUG 295 showed a near-perfect accuracy in discrimination between fallers and non-fallers.

296

#### 297 Comparison to other studies

298 The only existing systematic review of ED-based fall screening tools was published in 2014 by 299 Carpenter et al.<sup>12</sup> In this review, the authors identified only 2 studies examining ED-based 300 screening tools in their predictive ability for future falls for community-dwelling older adults. Our 301 study can be contrasted with these 2 studies in several ways. First, we included patients >= 60 years of age who were not in the ED for a fall. Tiedemann et al.<sup>34</sup> recruited ED patients >= 70 302 303 years who were there for a fall and Carpenter et al.35 recruited ED patients >=65 years who did 304 not present after a fall. They both examined fall outcomes at 6-months post-ED visit, whereas 305 we were interested in short-term (i.e. 3-month) risk. As such, our fall rate was lower (i.e. 10%) 306 compared to Carpenter et al. (i.e. 14%) and Tiedemann et al. (31%). Similar to these studies, 307 we found that no single factor was an accurate predictor of fall risk. Neither of our self-report

308 screening tools (i.e. SIS-M, SIQ-12) were found to be as accurate as the composite score of >1 309 on a 4-item tool developed by Carpenter, which had predictive LR+ of 2.40 and LR- of 0.11 310 (95% CI = 0.06 to 0.20). Our self-report screening tools accuracy was similar to those of Harper 311 et al.<sup>36</sup> who reported an ROC AUC=0.57 (95% CI 0.48 to 0.66) for the FROP Com Screen and 312 0.54 (95% CI 0.45 to 0.63) for their Two-Item Screening Tool. 313 For the objective functional test (i.e. TUG), Chow et al.<sup>37</sup> found that, among older adults 314 who met high-risk criteria by the CDC's SIQ-12, a TUG test completion time of 12+ seconds had 315 a sensitivity of 70.6% and a specificity of 28.4% to differentiate self-reported fallers from non-316 fallers at 6-months but an overall poor model ROC AUC of 0.54. Their ROC AUC is similar to 317 the ROC AUC for TUG in our study and the sensitivity and specificity of our TUG time of 22+ 318 seconds. The difference in optimal cutoffs between studies reaffirms prior published concerns 319 about how methodologic differences in how the TUG is conducted can make cross-study 320 comparisons difficult.38 321 There are no prior published reports describing a wearable sensor-based kinematic fall 322 risk screening tool used in the ED. There are however a number of prior systematic reviews of 323 wearable inertial sensors for fall risk assessments in other settings.<sup>39</sup> A review of prospective 324 and retrospective fall prediction studies from 2013 found a wide range of sensitivity (55-100%) 325 and specificity (15-100%) levels for inertial-sensor-based fall risk assessment models.<sup>40</sup> A more 326 recent systematic review including 10 prospective studies testing sensor-based kinematic

327 assessments in other settings found that prediction models achieved sensitivities between 48.1

328 and 91.3%, specificities between 66.3 and 100.0% and accuracies between 68.0 and 90.0%.<sup>41</sup>

329

## 330 Implications for clinical practice

Predicting future fall risk after an ED visit is important as it allows for the possibility of
 interventions to reduce harm. In a recent scoping review of 19 studies, Hammounda et al. found
 that ED-initiated interventions targeting multiple risk factors (e.g. medication review, physical

334	therapy targeting functional limitations, and eliminating environmental hazards) reduce falls
335	among selected older adult ED patients. <sup>42</sup> However, only 2 included ED patients who had not
336	presented to the ED after a fall and 66% entailed fall risk screening, with 11 different tools being
337	used. Our findings can help inform next-generation prognostication models to improve accuracy
338	of identification of older adults who present to the ED for complaints other than a fall, allowing
339	for wider public health impact of targeted fall-prevention interventions.

#### 341 Strengths and limitations

342 This study has a number of strengths, including our diverse sample of older ED patients (e.g. 343 68% Black), our within-sample comparison of multiple screening tools, our novel use of readily-344 accessible 3-axis accelerometers in smartphones to collect kinematic data, our composite fall 345 outcome of self-report and medical record fall-related care, use of re-sampling procedures (e.g. 346 bootstrapping; leave-one-out) to generate more accurate estimates of prognostic accuracy, and 347 our following of standard guidelines for reporting prognostic tools. There are also a number of 348 limitations to acknowledge. We recruited a convenience sample of ED patients based on RA 349 availability, introducing the possibility of selection bias. We also collected self-reported falls 350 based on retrospective recall at 1- and 3-months follow-up, which may have under-estimated 351 true fall rates due to recall errors. We had a relatively low rate of outcomes per predictor, 352 potentially resulting in over-fitted models. We attempted to minimize over-fitting in the neural 353 network by reducing the predictor pool using generated features only found to be significant in 354 Wald testing. Finally, we did not externally validate our models in new datasets, resulting in 355 likely over-estimation of predictive ability.

356

#### 357 CONCLUSION

358 Consistent with prior ED-based studies, we found evidence that standard screening tools found359 useful to discriminate older adult fallers form non-fallers in other settings lack sufficient accuracy

360 to be used in isolation in the ED. We did find that a neural network model using generated

- 361 accelerometer features collected during the TUG in the ED could be a promising modality to
- 362 improve accuracy of fall prognostication. Further research is needed to externally validate these

363 findings.

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Characteristic	Fall (n=14)	No fall (n=120)	OR	959	% CI
Age, mean (SD)	72 (9.8)	68.6 (7.9)	1.05	0.98	1.11
Female	35.7% (5)	40.8% (49)	0.80	0.25	2.55
Race					
White	21.4% (3)	30.0% (36)	REF		
Black	78.6% (11)	68.3% (82)	1.61	0.42	6.11
Other	0	1.7% (2)	NA		
Relationship status					
Married	35.7% (5)	35.8% (43)	REF		
Single	35.7% (5)	48.3% (58)	0.74	0.20	2.72
Separated	0	0.8% (1)	NA		
Widowed	28.6% (4)	15.0% (18)	1.91	0.46	7.94
Living situation					
By self	28.6% (4)	39.2% (47)	REF		
With other >=65	28.6% (4)	17.5% (21)	2.24	0.51	9.82
With other <65	21.4% (3)	28.3% (34)	1.04	0.21	4.94
Multiple family	21.4% (3)	15.0% (18)	1.96	0.40	9.62

# 507 Table 1. Baseline characteristics

SIQ-12	Fall (n=14)	No fall (n=120)	OR	95	% CI
Any fall in past year	64.3% (9)	42.5% (51)	2.44	0.77	7.70
Worry about falling	35.7% (5)	29.2% (35)	1.34	0.42	4.32
Feel unsteady	50.0% (7)	37.5% (45)	1.67	0.55	5.06
Advised to use ambulation aid	28.6% (4)	10.1% (12)	1.90	0.98	3.64
Needs to hold things	57.1% (8)	24.2% (29)	4.18	1.34	13.10
Have to push self up	50.0% (7)	35.8% (43)	1.79	0.59	5.45
Trouble with curbs	21.4% (3)	25.0% (30)	0.82	0.21	3.13
Rush to go bathroom	71.4% (10)	51.6% (62)	2.34	0.69	7.87
Lose feeling in feet	21.4% (3)	22.5% (27)	0.94	0.24	3.61
Take meds that make lightheaded	42.9% (6)	20.8% (25)	2.85	0.91	8.97
Take meds to modulate mood	42.9% (6)	26.7% (32)	2.06	0.66	6.40
Feels sad or depressed	28.6% (4)	10.0% (12)	3.60	0.98	13.30

## 510 Table 2. SIQ-12 risk factors' association with fall outcome

Acceleration Signal Amplitude Features	Fall (n=14)	No fall (n=120)	p- value
Mean of ML	-0.38	-0.31	0.73
Mean of AP	9.66	9.65	0.80
Mean of V	1.05	0.91	0.65
Standard Deviation of ML	1.01	1.11	0.21
Standard Deviation of AP	1.27	1.39	0.40
Standard Deviation of V	0.83	1.00	0.04
Pair-wise correlation between ML & AP	0.02	-0.03	0.16
Pair-wise correlation between ML & V	-0.08	-0.03	0.10
Pair-wise correlation between AP & V	0.23	0.18	0.48
Pair-wise covariance between ML & AP	0.01	-0.08	0.31
Pair-wise covariance between ML & V	-0.09	-0.03	0.28
Pair-wise covariance between AP & V	0.33	0.33	0.97
Maximum value of ML	-0.01	-0.04	0.61
Maximum value of AP	0.72	0.70	0.83
Maximum value of V	0.03	0.07	0.51
Maximum difference in ML & AP	0.29	0.26	0.54
Maximum difference in ML & V	0.75	0.76	0.89
Maximum difference in AP & V	0.76	0.77	0.92
Maximum difference in ML & AP & V	0.79	0.80	0.85
HR array for ML	0.81	0.78	0.39
HR array for AP	0.96	0.99	0.88
HR array for V	0.95	0.98	0.76
AP triangle duration for sit to stand	0.42	0.36	0.23
AP triangle duration for stand to sit	0.23	0.22	0.79

# 513 Table 3. Accelerometer features' association with fall outcome

	AUC	95%	6 CI
SIS-M	0.63	0.54	0.71
SIS-M (BS)	0.42	0.19	0.65
SIQ-12	0.69	0.60	0.76
SIQ-12 (BS)	0.64	0.49	0.80
TUG	0.60	0.44	0.76
TUG (BS)	0.48	0.29	0.68
HCRNN (raw)	0.98	0.97	0.99
HCRNN (gen)	0.99	0.98	1.00

# 516 Table 4. Model accuracy in discriminating fallers from non-fallers

		1		
SIS-M	Sens	Spec	LR+	LR-
>="Slight problem"	50.0	75.8	2.10	0.67
>="Moderate problem"	35.7	87.5	2.86	0.73
SIQ-12	Sens	Spec	LR+	LR-
SIQ-12 >=2	Sens 100.0	Spec 26.7	LR+ 1.36	LR- 0.00
SIQ-12 >=2 >=3	Sens 100.0 78.6	Spec 26.7 41.7	LR+ 1.36 1.35	LR- 0.00 0.51
SIQ-12 >=2 >=3 >=4	Sens 100.0 78.6 64.3	Spec           26.7           41.7           60.0	LR+ 1.36 1.35 1.61	LR- 0.00 0.51 0.60

## **Table 5. Fall risk estimates for key cutoffs**

TUG	Sens	Spec	LR+	LR-
>=18 sec	100.0	8.3	1.09	0.00
>=22 sec	64.3	44.2	1.15	0.81
>=26 sec	42.9	75.8	1.77	0.75

## 523 Figure 1. Flow Diagram



